

FATCA / CRS DECLARATION FOR NON-INDIVIDUAL ACCOUNTS

Note: The information in this section is being collected because of enhancements to Kotak Mahindra Bank Limited s new account on-boarding procedures in order to comply with Foreign Account Tax Compliance Act (FATCA) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india iga final- india english.pdf

http://www.oecd.org/ctp/exchange-of-tax-information/automatic-exchange-financial-account-information-common-reporting-standard.pdf

Office / Bank use only	
OPTY ID / SR Number :	

FATCA / CRS declaration and details for entities

(We are unable to provide advice about your FATCA classification or interpretation of any terms. Please therefore seek advice from a tax professional on any FATCA aspects)

Part A – Preliminary details (All fields mandatory)

Sr No	Particulars	Details of Applicant				
1.	Customer Relationship Number (CRN) of the entity [if any]					
2.	Name of the Entity					
3.	Address for Tax Residence (including city, state, country and pin code)					
4.	Address Type (Business or registered office)					
5.	Entity Constitution Type. (Refer Instruction 6 in annexure)					
6.	Do you satisfy any of the criteria mentioned below?					
	a. Is the entity a U.S. person (Please refer 'other definitions' in the instructions)b. Is the entity a Specified US Person	Yes No (Please answer b) (Please go to c)				
	b. Is the entity a specified os reison	Yes No Entity's exemption code: (Refer instruction 5 in annexure)				
	c. Is the entity formed/incorporated outside India	Yes No << If yes, please specify city and country of incorporation/formation>>				
	d. Is the entity having Tax Residency in any country (ies) other than India	Yes << If yes, please provide the following details>>				
		No Country of Tax Identification No. / Tax Identification Tax residency Functional equivalent of the foreign country				
		#In case Tax Identification Number is not available, kindly provide functional equivalentor Company Identification Number or Global Entity Identification Number				
7.	Is the entity a Financial Institution (FI) (including an Foreign Financial Institution) (refer instruction 1 in annexure) Or	Yes No (Please fill Part B) (Go to next question)				
	A Direct Reporting NFFE (Refer 'other definitions' in Annexure)					
8.	Is the entity a publicly traded corporation / a related entity of a publicly traded corporation / Active NFFE (For clarification, refer instruction 3 in Annexure)	Yes No (Please fill Part C) (Please go to Part D)				

Part B – If your answer to question 7 in Part A is a YES, please provide details in relation to Financial Institutions/ Foreign Financial Institutions or Direct Reporting NFFEs

Particulars	Details of applicant				
The entity is:	Global Intermediary Identification Number (GIIN)				
1. Financial Institution					
2. Direct Reporting NFFE					
	Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN and name below:				
	Name of sponsoring entity: (For clarification, refer 'Other Definitions' in the Annexure)				
	Sponsoring Entity's GIIN:(If GIIN provided, please go directly to the declaration and acknowledgment)				
If GIIN not available [tick any one]: (Not applicable to Direct	a. Not obtained: b. Applied for [
Reporting NFFE)	c. GIIN not required [insert application date]				
	Please specify reasons:				
	Please insert code:(Mention Code – For clarification , refer instruction 2 in Annexure) (Please go to Declaration and Acknowledgment)				

Part C – If your answer to question 8 in Part A is a YES, please provide following details:

Sr No	Particulars	Details of applicant
1.	a. Are you a publicly traded company? (Refer 'Instruction 3' in the annexure) b. Are your shares regularly traded on a recognized stock exchange	Yes No (Please fill C1(b)) (Please fill C2) Yes No (Please fill C2) If yes, please provide name of the stock exchange where the shares are regularly traded: 1. 2. (Please go to Declaration and Acknowledgment)
2.	Are you a related entity of a listed company mentioned in Part C (1) above # Related entity – An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control	Yes No Nature of relation with the related entity: (Please fill C3) Subsidiary of the listed company Controlled by a listed company If yes, please provide name of the related entity that is listed: Name of the stock exchange where the shares of the related listed entity are regularly traded: 1. (Please go to Declaration and Acknowledgement)
3.	Entity is an Active NFFE	Please specify nature of business Category: (Refer codes in Instruction 3) (Please go to Declaration and Acknowledgment)

Entity is an Passive NFFE :	estion 8 in Part	A is a NO, please provi	de following	details:				—
(other than Direct reporting NFFE	-							
Please specify nature of business:								
Provide details of all UBO/s of Definitions' in the Annexure]) in the Annexure of Definitions' in the Annexure of Definitions' in the Annexure of Tyes', in addition to the Auditor's Letter. If 'No', Please provide belo	the table below nted FFI's - Ye below details,	es No		-				
Name of UBO	Country of Tax residency	Residence address for tax purposes	Fathers name (If PAN not available)	Tax identification number or equivalent & Taidentification/functional equivalent docum	document: Passport/ PAN etc.	City and Country of Birth	UBO Code (For clarification refer Instruction 7 in the Annex	on, on
• •	eater than 4 or the	ng pattern duly self-atteste space required is insufficie er Relation Form for the	ent, information	in the given format				ota
Declaration & Acknowledgen	ient	Mahindra Bank information in th are genuine and	Limited and the submitted do duly executed	ie income credited cuments to be true,	therein declare the correct and updated	nat the abo d, and the su	ve information Ibmitted docum	and ent
		Bank may be re certifications and time of account not receive a vali with relevant tax	quired to seek d documentation opening or any d self-certification authorities. Sho	additional personal, n from the account he time subsequently. on from me) the Bank	ix information sharing, tax and beneficial holder. Such informa In certain circumstak may be obliged to sange in any informa 130 days.	owner infortion may be nces (includ	rmation and ce sought either a ing if the Bank o ation on my acco	rtai t th doe our
		institutions such account or any p	as withholding proceeds in relat Bank may also be	agents for the pur ion thereto. As may	ay also be required pose of ensuring ap y be required by dom nhold and pay out an	propriate w nestic or ove	ithholding from rseas regulators	the ta
Customer / Authorized perso &	n Signature							
Designation								
Date								
Bank use section								
Signature Verified by Sign & Emp Code		Receiver's Stamp						
Oocuments sent to CPC/RPC on	D D M M Y	/ Y Y Y		Authorized by				

Form Type Submitted – W-8 BENE

Authorized by