ITUS CAPITAL
DEMAT AND CUSTODY ACCOUNT OPENING FORM - HDFC NR

DETAILS	HOLDER 1	HOLDER 2
Service / Business (Company name)		
Annual Income		
Networth		
Mothers Name		
Place of Birth		
Mobile		
Email		
Nominee Name		
Relation		
Nominee Identification / Aadhaar Number of Nominee		
Noiminee email & Mobile		
Nominee DOB		
Foreign Tax Identification Number		

HDFC BANK

KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

BAR CODE NUMBER

Part - A IDENTITY DETAILS

Please fill this form in ENGLISH and in BLOCK LETTERS and Strike off whichever is not applicable

Prefix: ☐ Mr. ☐ Ms. ☐ Others Existing Customer: ☐ Yes ☐ No

Applicant name*: FIRST NAME MIDDLE NAME LAST NAME

Father / Spouse's name:

Gender: ☐ Male ☐ Female Marital status: ☐ Single ☐ Married

Date of birth: D D M M Y Y Y Y

PAN No. (Mandatory for Demat/BA): Evidence / Documents provided in case of PAN exemption ______

Aadhaar No.: X X X X X X X X

Status: ☐ Resident Individual ☐ Non Resident ☐ Foreign National

Nationality: ☐ Indian ☐ Other, please specify ______

Specify the proof of identity submitted: ☐ PAN (Other Officially Valid Document (OVD) required, in addition to PAN) ☐ OVD (Please specify) Valid till: D D M M Y Y

Please affix your recent passport size photograph

Part - B ADDRESS AND CONTACT DETAILS

Below mentioned address is my: ☐ Present Residence Address ☐ Office Address Mandatory : Please attach Self Attested Proof of below address

Residence / Correspondence Address:

Landmark (Mandatory):

City / Town / Village: PIN (Mandatory):

State: Yrs at current city: Y Y M M Residence: Y Y M M

Country: ☐ India ☐ Other

Specify the proof of address submitted for Residence / Correspondence address: Valid till: D D M M Y Y

Contact details: Country code STD / Area code Number Extn

Tel. (Off.):

Tel. (Res.):

Fax: Mobile: Country code Number

E-mail ID (Mandatory): IN CAPITAL LETTERS ONLY

☐ Please tick if permanent address is the same as above address

Permanent Address: Mandatory to be filled in if different from above

Landmark (Mandatory):

City / Town / Village: PIN (Mandatory): Mandatory for Non Resident

State:

Country: ☐ India ☐ Other

Tel. (Res.): + 9 1 - STD - NUMBER Mobile no: 9 1

Part - C DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

FOR BANK USE ONLY

(Originals verified) and Self - Attested Document copies received

In-Person-Verification (IPV) details.

Name of the Person doing IPV ______

Signature of the Person doing IPV ______

Designation & Emp. code ______

Branch code

Seal / Stamp of HDFC Bank

D D M M Y Y Y Y

Name of the organisation: HDFC Bank Ltd.

Name and Signature of Authorised Signatory ______

Signature of the applicant

D D M M Y Y Y Y Place ______

Note:

- If customer provides the KRA registered letter the documents for KYC shall not be taken from the customer.
- The KYC form shall be filled for all the holders of the account.
- * For HSL Trading Account - Name of the customer will be considered as name available in Income tax database.

KNOW YOUR CLIENT (KYC) APPLICATION FORM (For Individuals)

HDFC BANK

BAR CODE NUMBER

Part (A) IDENTITY DETAILS

Please fill this form in ENGLISH and in BLOCK LETTERS and Strike off whichever is not applicable

Prefix ☐ Mr. ☐ Ms. ☐ Others ____ Existing Customer ☐ Yes ☐ No

Applicant name* F I R S T N A M E M I D D L E N A M E L A S T N A M E

Father / Spouse's name

Gender ☐ Male ☐ Female Marital status ☐ Single ☐ Married

Date of birth D D M M Y Y Y Y

PAN No. (Mandatory for Demat/KRA) Evidence / Document provided in case of PAN exemption ____

Aadhaar No. X X X X X X X X

Status ☐ Resident Individual ☐ Non Resident ☐ Foreign National

Nationality ☐ Indian ☐ Other, please specify ____

Specify the proof of identity submitted ☐ PAN (Other Officially Valid Document (OVD) required, in addition to PAN) ☐ OVD (Please specify) ____ Valid till D D M M Y Y

S1

Please affix your recent passport size photograph

Part (B) ADDRESS AND CONTACT DETAILS

Below mentioned address is my ☐ Present Residence Address ☐ Office Address Mandatory : Please attach Self Attested Proof of below address

Residence / Correspondence Address

Landmark (Mandatory)

City / Town / Village PIN (Mandatory)

State Yrs at current city Y Y M M Residence Y Y M M

Country ☐ India ☐ Other

Specify the proof of address submitted for Residence / Correspondence address Valid till D D M M Y Y

Contact details	Country code		STD / Area code		Number		Extn.
Tel. (Off.)		-		-		-	
Tel. (Res.)		-		-			
Fax		-		-			

Mobile: Country code - Number

E-mail ID (Mandatory) I N C A P I T A L L E T T E R S O N L Y

☐ Please tick if permanent address is the same as above address

Permanent Address

Landmark (Mandatory)

City / Town / Village PIN (Mandatory)

State

Country ☐ India ☐ Other

Tel. (Res.) 9 1 - S T D N U M B E R Mobile no. 9 1

Part (C) DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

FOR BANK USE ONLY

(Originals verified) and Self - Attested Document copies received

In-Person-Verification (IPV) details:

Name of the Person doing IPV ____

Signature of the Person doing IPV ____

Designation & Emp. code ____

Branch code

D D M M Y Y Y Y

Name of the organisation: HDFC Bank Ltd.

Seal / Stamp of HDFC Bank

Name and Signature of Authorised Signatory ____

Signature of the applicant

S2

D D M M Y Y Y Y Place ____

Note:

- If customer provides the KRA registered letter the documents for KYC shall not be taken from the customer.
- The KYC form shall be filled for all the holder/s of the account.
- For HSL Trading Account: - Name of the customer will be considered as name available in income tax database.

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Application for Opening Demat Account - (For Individuals)

[illegible]

BAR CODE NUMBER

FOREIGN ACCOUNT TAX COMPLIANCE ACT DETAILS (FATCA)

Please confirm if tax residence other than India (in case of NRI, please fill the details)

Please tick in case Not Applicable

	Country of Birth	TAX Reference number
1st Holder		
2nd Holder		
3rd Holder		
Guardian		

	Are you tax resident of any other country other than India			Are you a Green Card Holder		In case of multiple Citizenship / Nationality (Please mention Country Names below)	
1st Holder	Yes of U.S	Yes of ______	No	Yes	No	Country 1: ______	Country 2: ______
2nd Holder	Yes of U.S	Yes of ______	No	Yes	No	Country 1: ______	Country 2: ______
3rd Holder	Yes of U.S	Yes of ______	No	Yes	No	Country 1: ______	Country 2: ______
Guardian	Yes of U.S	Yes of ______	No	Yes	No	Country 1: ______	Country 2: ______

Declaration

- [illegible]
- [illegible]

Financial Details (Please Specify)

FIRST HOLDER'S DETAILS	SECOND HOLDER'S DETAILS	THIRD HOLDER'S DETAILS
[illegible]	[illegible]	[illegible]

Acknowledgment / Consent For Additional Rights & Obligations - Individual Customer

- I acknowledge and declare that I have received, read, understood and agree to the contents of :
  1. Rights and obligations of beneficial owner and Depository participant as prescribed by SEBI and Depositories
  2. Demat Standard tariff sheet 3. Financial Details 4. FATCA Declaration 5. Aadhaar

(Signatures of all the holders are required)

1st Holder's Name:			Signature of 1st Holder
Date	Place:		
2nd Holder's Name:			Signature of 2nd Holder
Date	Place:		
3rd Holder's Name:			Signature of 3rd Holder
Date	Place:		

Details under FATCA/Foreign Tax Laws: [illegible]

Debit Authorisation: [illegible]

Email Statement: [illegible]

CPU Inward Stamp & Authorised Signatory with Stamp

Branch Inward Stamp & Authorised Signatory with Stamp

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Application for Opening Demat Account - (For Individuals)

BAR CODE NUMBER

Please tick as applicable: ☐ NSDL (DP ID: [illegible]) ☐ CDSL (DP ID: [illegible]) LG Code: LC Code:

Date: D D M M Y Y Internal Ref. No.: No. of Holders: Any other information:

Source channel: DP ID: Client ID: To be filled by DP

Part - I (1) DEMAT ACCOUNT OPENING DETAILS

I/We request you to open a depository account in my/our name as per the following details (Please tick one)

☐ Ordinary Resident ☐ NRI - Repatriable ☐ NRI - Non - Repatriable ☐ Foreign National ☐ Promoter ☐ Margin Account
☐ Qualified Foreign Investor ☐ Others (pls specify) ________

Part - I (2) DETAILS OF ACCOUNT HOLDER(s) — Please fill all the details in CAPITAL LETTERS only

Sole / First Holder: FIRST NAME / MIDDLE NAME / LAST NAME

Second Holder: FIRST NAME / MIDDLE NAME / LAST NAME

Third Holder: FIRST NAME / MIDDLE NAME / LAST NAME

For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned below:

Name:

PAN Number:

Account to be operated through Power of Attorney (POA) (Default is, No) ☐ Yes ☐ No — SMS alert is mandatory if you are giving POA

Part - I (3) UCC ID AND PAN NUMBER OF APPLICANT

UCC: Applicant — FIRST / SECOND / THIRD

PAN: Applicant — FIRST / SECOND / THIRD

Part - I (4) IN CASE OF NRIs / FOREIGN NATIONALS

RBI approval reference number: ☐ Non face to face customer

RBI Approval Date: D D M M Y Y Y Y

Part - I (5) GUARDIAN DETAILS (Where sole holder is a minor)

Guardian Name:

PAN Number: For account of a minor, two KYC application forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)

Relationship of guardian with minor:

Part - I (6) BANK DETAILS

(Mandatory for the first Demat account holder for receiving credit of Dividend / Interest) Please give NRE Bank details only, in case Demat account is to be opened as NRE & NRO

Bank A/C type: ☐ Savings A/C ☐ Current A/C ☐ Other (pls specify) ________

Account No.: RTGS / NEFT / IFSC Code:

MICR Code: Please attach photo copy of Blank / Cancelled cheque to verify the 9 digit MICR code (for Non HDFC Bank A/C's only)

Bank Name: Branch code if HDFC Bank A/C:

Branch Address:

City / Town / Village: State:

Country: PIN (mandatory):

Part - I (7) DEBIT AUTHORISATION

I/We authorise Bank to debit recover charges pertaining to opening & maintenance of Demat Account, transaction charges, or any other charges related to Demat account from the said HDFC Bank Savings / Current account
with ________ Branch — ACCOUNT NO.

Part - I (8) SERVICE REQUEST

Standing Instruction (SI): ☐ Yes. To receive credit automatically into my/our a/c (Default is, Yes) ☐ No — NOTE: SI for a New PIS NRE Demat A/c will be by default marked as "No."

SMS alert facility: Mandatory if you are giving Power of Attorney (POA). Ensure that mobile number is provided in the KYC application form.

First holder ☐ Yes ☐ No Second holder ☐ Yes ☐ No Third holder ☐ Yes ☐ No

Delivery Instruction Slip (DIS) booklet facility: [illegible]
☐ Yes, I / we wish to receive DIS booklet at the time of account opening. ☐ No, however, the DIS booklet should be issued to me / us immediately on my / our request at any later date.

Mode of receiving Statement of Account (Tick any one): ☐ Physical Form ☐ Electronic Form (Read Note 4) ☐

DP on Net facility: ☐ NetBanking for Demat a/c — All T&C of NetBanking will be applicable.

FOR BANK USE

Rel. ID: Br. Type: Group ID: Br. Code: Client ID: Prod. Code:

RISK CATEGORY

1st holder ☐ High ☐ Medium ☐ Low
2nd holder ☐ High ☐ Medium ☐ Low
3rd holder ☐ High ☐ Medium ☐ Low

Emp Name & Emp Code

Signature with date

Bank Seal for Sign verification

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Nomination Form for Demat Account
To be filled in by individual applying singly or jointly (Not applicable for HUF/Minor Account)

BAR CODE NUMBER

☐ I/We wish to make a nomination (As per details given below) ☐ I/We do not wish to make a nomination. (Strike off the nomination details below)

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all securities held in the Depository by me / us in the said beneficiary owner account in the event of my / our death.

Nomination Details

Nomination can be made upto three nominees in the account	1st Nominee	2nd Nominee	3rd Nominee
Name of the Nominee(s) (Mr./Ms.)	FIRST MIDDLE LAST	FIRST MIDDLE LAST	FIRST MIDDLE LAST
Shares of Each Nominee	☐ Equally ☐ If Not Equally specify percentage ___%	☐ Equally ☐ If Not Equally specify percentage ___%	☐ Equally ☐ If Not Equally specify percentage ___%
For CDSL Residual Securities	☐	☐	☐
For NSDL - Any odd lot after division shall be transferred to the first nominee mentioned in the form			
Relationship with Applicant (if any)			
Nominee(s) Address	CITY STATE COUNTRY PIN Code	CITY STATE COUNTRY PIN Code	CITY STATE COUNTRY PIN Code
Nominee Identification Details (please tick any one from below and provide details of the same) A. PAN B. Savings Bank A/C No. C. Demat account ID D. Aadhar No. E. Photo and Sign F. Other Proof of Identity	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.
Date of Birth of Nominee(s) Mandatory, if Nominee is minor	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
Mobile/Telephone no. of Nominee(s)			
Email ID of Nominee(s)			

Guardian Details (should be filled only if nominee(s) is minor)

	1st Nominee	2nd Nominee	3rd Nominee
Name of Guardian (Mr./Ms.) in case of minor nominee(s)	FIRST MIDDLE LAST	FIRST MIDDLE LAST	FIRST MIDDLE LAST
Address of Guardian(s)	CITY STATE COUNTRY PIN Code	CITY STATE COUNTRY PIN Code	CITY STATE COUNTRY PIN Code
Relationship of Guardian with Nominee (if any)			
Guardian Identification Details (please tick any one from below and provide details of the same) A. PAN B. Savings Bank A/C No. C. Demat account ID D. Aadhar No. E. Photo and Sign F. Other Proof of Identity	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.	A ☐ or B ☐ or C ☐ or D ☐ or E ☐ or F ☐ Please affix your recent passport size photograph Sign ___ Ref. ID no.
Mobile/Telephone no. of Guardian			
Email ID of Guardian			

Declaration: [illegible]

Signature & Name of holder (s)	Sign Signature 1st holder	Signature 2nd holder	Signature 3rd holder

To be signed by Witness: Name & Address of the Witness (Mr. / Ms.) ___ D D M M Y Y Y Y Signature of Witness

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Annexure - Regular / Basic Services Demat Account (BSDA) And Separate Mobile Number & Email ID Declaration

BAR CODE NUMBER

Declaration by Demat Account Holder/s

Date: D D M M Y Y Application No. DP ID Client ID

UCIC ID LG Code LC Code

Dear Sir/Madam,

The appended Tariff will be applicable for the customer opting for the Regular / Basic Services Demat Account

Annexure - Regular / Basic Services Demat Account (BSDA)

			☐ I wish to open a Regular Demat Account		☐ I wish to open Basic Services Demat Account (BSDA)	
Sr. No.	Fee Head	Type	Regular Demat account		Basic Services Demat account (BSDA)	
			Fees	Min	Fees	Min
1	Account opening charges		Nil			
2	Debit Transactions Equity / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn	Rs. 20/-	0.06% of the value of the txn	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
3	Debit Transactions Debt / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn (Max Rs 5000/-)	Rs. 20/-	0.06% of the value of the txn (Max Rs 5000/-)	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
4	Credit Transactions		Nil			
5	Pledge Services (Creation / Revocation / Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the txn	Rs. [illegible]	0.04% of the value of the txn	Rs. 50/-
		If Pledge is marked to other than HDFC Bank	0.04% of the value of the txn	Rs. 40/-	0.06% of the value of the txn	Rs. 60/-
6	Reissuance of Delivery Instruction Booklet (DIB)		Rs. 75/- per booklet		Rs. 75/- per booklet	
7	Dematerialisation	Certificate + Dematerialisation Request	Rs 5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs 5/- per certificate + Rs. 35/- per request	Rs. 40/-
8	Rematerialisation	Rematerialisation Request	Rs. 30/- per request + NSDL / CDSL actuals. Currently a) Rs. 10/- for every hundred securities or part thereof or b) a flat fee of Rs. 10/- per certificate whichever is higher	Rs. 40/- (min) Rs 5,00,000 (max)	Rs. 30/- per request + NSDL / CDSL actuals. Currently a) Rs. 10/- for every hundred securities or part thereof or b) a flat fee of Rs. 10/- per certificate whichever is higher	Rs 40/- (min) Rs 5,00,000 (max)
		Reconversion of Mutual Funds/Redemption of Mutual Fund units	**Reconversion of Mutual Funds** Rs 30/- per request + NSDL / CDSL actuals. Currently Rs 10/- per request in NSDL & Rs 5.50/- per request in CDSL **Redemption of Mutual Fund units** Rs 30/- per request + NSDL / CDSL actuals. Currently Rs 4.50/- per request in NSDL & Rs 5.50/- per request in CDSL		**Reconversion of Mutual Funds** Rs 30/- per request + NSDL / CDSL actuals. Currently Rs 10/- per request in NSDL & Rs 5.50/- per request in CDSL **Redemption of Mutual Fund units** Rs 30/- per request + NSDL / CDSL actuals. Currently Rs 4.50/- per request in NSDL & Rs 5.50/- per request in CDSL	
9	Courier/Postal Charges only (Adhoc Statement)	Inland Address	Rs 35/- per request		Rs 35/- per request	
		Foreign Address	Rs 500/- per request		Rs 500/- per request	
10	Annual Maintenance Charges	AMC	Rs. 750 p.a.		AMC | Holding Value: Debt Securities **** | Non Debt Securities ***** *** NIL | 0 to 100000 | 0 to 50000 *** Rs 100 p.a. | 100001 to 2 lacs | 50001 to 2 lacs *** Rs 750 p.a. | Above 2 lacs | Above 2 lacs	

Terms & Conditions:

- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit transactions.
- Customers who have a banking relationship with HDFC Bank to provide a Debit authorisation for the recovery of service charges. Customers having only a Depository relationship will be required to maintain a balance of Rs. 7,500/- in an account maintained by Bank for each Demat account. The Customer also need to replenish the balance in the said account immediately if and when it falls below Rs 5,000/-.
- The above charges are exclusive of applicable GST and other taxes / statutory charges levied by Government bodies / statutory authorities from time to time, which will be charged as applicable.
- All charges / service standards are subject to revision at the Bank's sole discretion at any given point of time and the same shall be communicated to the customers with a notice of 30 days.
- In case you are applicable for submission of GSTIN details, please provide details in a separate "GST Annexure". GST Annexure is available on our website (www.hdfcbank.com >> Form Center >> Demat Tab >> GST Annexure)

NOT APPLICABLE

- *** The Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. For the computation of AMC for Managed Program, the transactions for the previous year will be evaluated, and basis the number of transactions done by the customer, AMC will be levied as per the transaction slabs defined. (For more details of Managed program, kindly refer our website www.hdfcbank.com)
- To evaluate the eligibility for Basic Services Demat Accounts (BSDA), the value of holdings will be determined on a daily basis, as per the file sent by the NSDL/ CDSL. The AMC will be calculated on the pro-rata basis based on the value of holding of securities in the account.
- In case of BSDA, such accounts would be levied AMC applicable basis the value of holdings exceeding the prescribed limit immediately from the next day of [illegible] such limit.
- In case the Demat accounts with BSDA facility does not meet the latest eligibility as per guidelines issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to Standard program Demat accounts without further reference to the respective customers and will be levied standard Program [illegible]
- In case if the Demat accounts with BSDA facility exceed the prescribed limits and move out of the stipulated BSDA criteria, the [illegible] such accounts for BSDA facility will be evaluated on the last day of the Annual billing cycle.
- The value of the transaction will be in accordance with rates provided by Depositories (NSDL / CDSL).
- The transaction charges will be payable monthly. The charges quoted above are for the services listed. Any service not [illegible] will be charged separately.
- The operating instructions for the joint account must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the Bank at least 24 hours before the execution date.
- In case of non-recovery of service charges due to inadequate balance in your linked bank account or [illegible] invalid bank account, the Depository services for your account will be temporarily discontinued. The services will be resumed in a minimum of three working days from the date of receipt of request with HDFC Bank and your payment of all outstanding dues towards Depository charges.
- In case the Demat accounts are with nil balances / transactions or in case if the customer [illegible] payment of AMC, the physical statement shall not be sent to the customer after period of 1 year. However the electronic statement of holding will be sent only to the [illegible] email IDs are registered for e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) [illegible] customers w.e.f. March 2015, hence despatch of physical statements has been discontinued.
- Your Transaction cum Billing statement will be available on NetBanking [illegible] second week of every month with option to view / download.
- Effective 01 July 2020 stamp duty charges would be collected from BE [illegible] allocation amount of DIS/Market transfer and from pledgee for Pledge invocation request, before execution of request.
- Flat fee of Rs.20/- + taxes, would be levied for each Margin Pledge [illegible] instruction submitted.

Notes on the terms of the Demat Account Refer page no. [illegible]

Notes: [illegible]

NOT APPLICABLE

SEPARATE MOBILE NUMBER & EMAIL ID DECLARATION

I/we hereby declare as detailed below for mobile number and email id belongs to.

	Name of the Customer	Mobile Number	Email Id of the Customer
1st Account holder		Self / Spouse / Dependent Parents / Dependent Children	Self / Spouse / Dependent Parents / Dependent Children
2nd Account holder		Self / Spouse / Dependent Parents / Dependent Children	Self / Spouse / Dependent Parents / Dependent Children
3rd Account holder		Self / Spouse / Dependent Parents / Dependent Children	Self / Spouse / Dependent Parents / Dependent Children

Signature 1st holder

Signature 2nd holder

Signature 3rd holder

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Extended KYC Annexure - Individuals (including sole-proprietors)

(Applicable for Resident and Non-Resident Customers)

(Please consult your professional tax advisor for further guidance on your tax residency, if required)

Date: ______________ Place: ______________________ AOF Number : ______________________

Please fill the information below as requested	First Account Holder	Second Account Holder
Name of the Account Holder		
Customer ID		
City of Birth		
Country of Birth		
Address for Tax purpose	☐ same as mailing address ☐ same as permanent address	☐ same as mailing address ☐ same as permanent address
Address Type for the above	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office	☐ Residential or Business ☐ Residential ☐ Business ☐ Registered Office
Nationality (if national of more than one country, please mention all the countries separated by a comma)		
Father's Name		
Spouse's Name		
Identification Type - Documents submitted as proof of identity of the individual	☐ Passport ☐ Election / Voter's ID card ☐ Driving License ☐ Aadhaar card / letter ☐ NREGA Card ☐ Govt ID Card ☐ Others(pls specify) ______	☐ Passport ☐ Election / Voter's ID card ☐ Driving License ☐ Aadhaar card / letter ☐ NREGA Card ☐ Govt ID Card ☐ Others(pls specify) ______
Identification Number - for the identification type mentioned above		

Are you a tax resident of any country other than India?

- First account holder Yes ☐ No ☐
- Second account holder Yes ☐ No ☐

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Account holder details	Name of Customer	Country(ies) of Tax residency #	Tax Identification Number (TIN)%	Identification Type (TIN or Other%, please specify)
First				
Second				

 # To also include USA, where the individual is a citizen/ green card holder of USA
% In case Tax Identification Number is not available, kindly provide functional equivalent*

Certification: I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided/available in the bank/securities records will be used for CBDT reporting. Further, I/We hereby authorize HDFC Bank Limited to furnish the above information to HDFC Securities Limited for the purpose of compliance and reporting under CBDT Rules as applicable.

S6

Signature of first holder

Signature of second holder*

[illegible]

SIGN HERE

SIGN HERE

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Extended KYC Annexure - Individuals (including sole-proprietors)

(Applicable for Resident and Non-Resident Customers)

CBDT Terms and Conditions

The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

	FATCA/ CRS indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
		If customer does not agree to be Specified U.S. person/ reportable person status
1	U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); **AND** 3. **Any one** of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; **or** Reason the customer did not obtain U.S. citizenship at birth
2	Residence/mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **and** 2. Documentary evidence (refer list below)
3	Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **and** 2. Documentary evidence (refer list below)
4	Standing instructions to transfer funds to an account maintained in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; **and** 2. Documentary evidence (refer list below)

List of acceptable **documentary evidence** needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

*Government or agency thereof or a municipality.

For HDFC Bank/Securities use only :

Sourcing Branch Name : ____________________ Branch Code :

Signature verified and form approved by : SDA / SM employee Code : ______________ Signature & Date : ______________________

HDFC BANK
We understand your world

Central KYC Registry - KYC Additional Details Form (Individual)

Date: D D M M Y Y Y Y   Place: ____________   AOF no.: ____________

LG Code: ______   LC Code: ______

Please fill the information below as requested	First Account Holder	Second Account Holder
Application Type	☐ New ☐ Update	☐ New ☐ Update
*Account Type	☑ Normal ☐ Simplified (For low risk customers) ☐ Small	☑ Normal ☐ Simplified (For low risk customers) ☐ Small
KYC Number (Mandatory for KYC update request)		
*Name (Same as ID proof) (write in block letters)	First Name / Middle Name / Last Name	First Name / Middle Name / Last Name
Maiden Name (If any*)	First Name / Middle Name / Last Name	First Name / Middle Name / Last Name
Mother Name*	First Name / Middle Name / Last Name	First Name / Middle Name / Last Name
Gender*	☐ M-Male ☐ F-Female ☐ T-Transgender	☐ M-Male ☐ F-Female ☐ T-Transgender
Marital Status*	☐ Married ☐ Unmarried ☐ Others	☐ Married ☐ Unmarried ☐ Others
Citizenship*	☐ IN- Indian ☐ Others ____	☐ IN- Indian ☐ Others ____
Residential status *	☐ Resident Individual ☐ Non-Resident Indian ☐ Foreign National ☐ Person of Indian Origin	☐ Resident Individual ☐ Non-Resident Indian ☐ Foreign National ☐ Person of Indian Origin

* Mandatory Fields

Applicant Declaration - Additional Information for CERSAI :

I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email.

Applicant Preference for receiving Documents as part of Account Opening Kit

I / We wish to receive the following documents in ☐ Physical Form ☐ Electronic Form

1. Rights & Obligations of stock broker, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading) (applicable for HDFC Securities Limited - Trading Account)
2. Rights and Obligations of beneficial owner and depository participant as prescribed by SEBI and depositories (applicable for Demat account)
3. Uniform Risk Disclosure Documents (for all segments/ exchanges) and (applicable for HDFC Securities Limited - Trading Account)
4. Guidance Note detailing Do's and Don't for trading on stock exchanges (applicable for HDFC Securities Limited - Trading Account)

S7

Signature/Thumb Impression of First Applicant   Signature/Thumb Impression of Second Applicant

SIGN HERE

SIGN HERE

Institution Details

For HDFC Bank/Securities use only :

DP ID: ______   Client ID: ______

Sourcing Branch Name ____________   Branch Code: ______

Signature verified and form approved by : BDA / BM employee Code ____________ Signature & Date ____________

Institution Stamp

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, allowed to trade subject to RBI and FEMA guidelines, copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening a minor's account with Depository Participant or Mutual Fund, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.
12. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
13. Demat master or recent holding statement issued by DP bearing name of the client.
14. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address:

(*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Important:

The Bank / Securities staff carrying out the IPV should:

1. Sign in the place provided for "Signature of Authorised Signatory" within the box "For Office USE Only" on the KYC Form and
2. Affix the DSV stamp along-with the signature on the relevant supporting documents pertaining to Proof of Identity & Proof of Address.

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.

2. The DP shall open/activate Demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.

4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the Demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of Demat accounts".

6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.

7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.

10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in Demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the Demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his Demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.

14. However, if there is no transaction in the Demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.

15. The DP may provide the services of issuing the statement of Demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of Demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of Demat account in the electronic mode, then the Participant shall be obliged to forward the statement of Demat accounts in physical form.

16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the Demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her Demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their Demat account should be transferred to another Demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of Demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the Demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.

20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,

1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her Demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.

23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the Demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.

27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.

28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.

29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI.

30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.

31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Tariff Sheet - Regular / Basic Services Demat Account (BSDA)

Sr. No.	Fee Head	Type	Regular Demat account – Fees	Regular Demat account – Min	Basic Services Demat account (BSDA) – Fees	Basic Services Demat account (BSDA) – Min
1	Account opening charges		Nil			
2	Debit Transactions Equity / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn.	Rs. 20/-	0.06% of the value of the txn.	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
3	Debit Transactions Debt / Mutual Funds (Market / Off Market)	SPEED-e / Easiest	0.04% of the value of the txn. (Max Rs 5000/-)	Rs. 20/-	0.06% of the value of the txn. (Max Rs 5000/-)	Rs. 40/-
		HDFC Securities		Rs. 25/-		Rs. 45/-
		Manual		Rs. 40/-		Rs. 60/-
4	Credit Transactions		Nil			
5	Pledge Services Creation / Invocation / Closure)	If Pledge is marked in favour of HDFC Bank	0.02% of the value of the txn.	Rs. 40/-	0.04% of the value of the txn.	Rs. 60/-
		If Pledge is marked to other than HDFC Bank	0.04% of the value of the txn.	Rs. 40/-	0.06% of the value of the txn.	Rs. 60/-
6	Reissuance of Delivery Instruction Booklet (DIB)		Rs.75/- per booklet		Rs.75/- per booklet	
7	Dematerialisation	Certificate + Dematerialisation Request	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-	Rs.5/- per certificate + Rs. 35/- per request	Rs. 40/-
8	Rematerialisation	Rematerialisation Request	Rs.30/- per request + NSDL / CDSL actuals. Currently a) Rs. 10/- for every hundred securities or part thereof, a flat fee of Rs. 10/- per certificate whichever is higher	Rs.40/- (min) Rs 5,00,000 (max)	Rs.30/- per request + NSDL / CDSL actuals. Currently a) Rs. 10/- for every hundred securities or part thereof; a flat fee of Rs. 10/- per certificate whichever is higher	Rs 40/- (min) Rs 5,00,000 (max)
9	Courier/Postal Charges only (Adhoc Statement)	Inland Address	Rs.35/- per request		Rs 35/- per request	
		Foreign Address	Rs.500/- per request		Rs 500/- per request	
10	Annual Maintenance Charges	AMC	Rs. 750 p.a.		see below	

BSDA – Annual Maintenance Charges:

AMC	Holding Value – Debt Securities ****	Holding Value – Non Debt Securities *****
*** Nil	0 to 100000	0 to 50000
*** Rs 100 p.a.	100001 to 2 lacs	50001 to 2 lacs
*** Rs 750 p.a.	Above 2 lacs	Above 2 lacs

Terms & Conditions:

- [illegible]
- Customers who have a banking relationship with HDFC Bank [illegible] Rs. 2,000 [illegible]
- The above charges are exclusive of applicable GST [illegible]
- All charges / service standards are subject to revision [illegible] 30 days.
- [illegible] "GST Annexure". GST Annexure is available on our website www.hdfcbank.com >> Form Centre >> Demat Tab >> GST Annexure)
- *** The Annual Maintenance Charges are levied [illegible] www.hdfcbank.com)
- [illegible] Basic Services Demat Account (BSDA) [illegible] NSDL / CDSL [illegible]
- In case of BSDA, [illegible]
- In case the Demat accounts with BSDA [illegible]
- In case if the Demat accounts with BSDA [illegible] Annual billing cycle.
- [illegible] Depositories (NSDL / CDSL)
- The transaction charges [illegible]
- The operating instructions [illegible]
- All instructions for transfer must [illegible]
- In case of non- recovery of service charges [illegible] charges.
- In case the Demat accounts are with nil balance [illegible] e-statement.
- The Depositories have started dispatching Consolidated Account Statement (CAS) [illegible]
- Your Transaction cum Billing statement will be available on NetBanking [illegible]
- Effective 01 July 2020 [illegible]
- [illegible]

[illegible] Terms and Conditions pertaining to Debit authorisation, E-mail statement and Fee & schedule.

HDFC BANK
We understand your world

HDFC Bank Limited, Depository Services [illegible]
Registered Office: HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai - 13.

ACKNOWLEDGMENT

NSDL DP ID - IN 300126 / IN 301151 / [illegible] CDSL DP ID - [illegible]

Received the application from M/s/Ms.__________________ as the sole/first holder along with __________________

and __________________ as the second and third holders respectively for opening of a Depository account. Please quote the

DP ID & Client ID allotted to you in all your future correspondence.

Participant Stamp & Signature

ACCOUNT CUM TERM DEPOSIT OPENING FORM

FOR NON RESIDENT - INDIVIDUAL CUSTOMERS

HDFC BANK

We understand your world

Bank use only: Face to Face Tatkal A/c | Face to Face Non Tatkal A/c | Non Face to Face

Application Date

(To be filled by financial institution) KYC Number

Application Type - **New** A/c Type - **Normal**

Please open my / our account with HDFC Bank in the Branch mentioned below. I / we hereby furnish the details along with supporting documents. Relevant options have been ticked as required / applicable.

State | City

Branch | Branch Code(Bank use only) | (All fields marked "*" are MANDATORY)

*A) APPLICANT'S NAME (SAME AS ID PROOF)

(Below name combination / holding pattern will be applicable for all the products opted for in this application form)

APPL	PREFIX	FIRST NAME	MIDDLE NAME	SURNAME
1st				
Maiden Name				
2nd				
Maiden Name				

*B) OPERATING INSTRUCTION

(Below operating instruction will be applicable for all the products opted for in this application form)

Mandate / POA (please attach relevant document)

NRE/FCNR/RFC Account: Single | Either or Survivor | Former or Survivor | Minor under Guardian | Jointly (ATM / Debit card not issued) | Yes | No

NRO Account: Single | Either or Survivor | Former or Survivor | Minor under Guardian | Jointly (ATM / Debit card not issued) | Yes | No

C) FIRST APPLICANT'S DETAILS

*PERSONAL DETAILS

*Gender: Male | Female | Transgender — Date of Birth — Applicant Type: Minor Y N — *Marital Status: Married | Unmarried | Other

Residential Status: NRI | PIO/OCI | Foreign National | Resident (Returning NRI) — *Spouse's Name

Existing Cust ID

I Confirm that I do not have any existing customer ID ... Passport Expiry Date

*PAN No. — Aadhaar No. — *Passport No.

*If PAN not available, kindly attach Form 60: Y N — Mother's Name

*Mother's Maiden Name — *Father's Name

**Country / ies of Tax Residency (Include India): 1- 2- — City of birth — Country of birth

*Nationality: 1- 2- — **Tax Id No. (TIN) For tax resident of other country than India: 1. 2. — *Tax Id No. (TIN) Type: 1. 2.

**To also include USA, where the individual is a citizen/ green card holder of USA. *In case Tax Identification Number is not available, kindly provide functional equivalent*

*OVERSEAS ADDRESS

Choice of Correspondence: **Indian Address** | **Overseas Address** (If not ticked, correspondence will be sent to Overseas address)

(For existing customers, address given below will be updated for the primary applicant in all accounts held with the bank) — **Attached Address Proof of**: **Indian Address** | **Overseas Address**

Flat No & Bldg. Name

Landmark

City — State

Country — PIN Code

Name of Proof of Address: Passport | Driving License | UID (Aadhar) | Voter ID | NREGA Job Card | Others

Please mention a prominent landmark to ensure that the deliverables reach you

*Address Type for Tax purpose: Residence | Business | Residential and Business | Registered Office | Unspecified | Please tick if Address for Tax purpose is other than correspondence address

*INDIAN ADDRESS

Flat No & Bldg. Name

Landmark

City — PIN Code

State — Country: I N D I A

Please mention a prominent landmark to ensure that the deliverables reach you

*Address Type: Residence | Business | Residential and Business | Registered Office | Unspecified | I confirm that I do not have any Indian Address

*CONTACT DETAILS

*E-mail ID

Monthly email statement will be sent to the above e-mail id. All accounts linked to Cust ID of 1st Applicant will be registered for Free Email Statements.

Country code | Area code

*Mobile No. — (OTP will be sent to this mobile number)

Tel (R) — **Please register me for A) India Alert: SMS | E-MAIL**

Tel (O)

*OCCUPATIONAL AND INCOME DETAILS

Source of funds: Salary | Business income | Agriculture | Investment income | Others ____

Occupation: Salaried | Self employed | Retired | Politician | Self-employed Professional | Housewife | Student | Unemployed | Others ____

If salaried employed with: Private ltd | Partnership | Proprietorship | Public ltd(ltd) | Public sector | Government | Multinational | Others ____

Self employed professional: Doctor | CA | Lawyer | Architect | IT consultant | Others ____

Self employed since	Nature of business	Type of company/firm	Residence Type
Years Months	Manufacturing / Service provider	Sole proprietorship / Partnership	Owned / Rented / Lease
Date of incorporation	Agriculture / Stock broker / Trader	Public ltd co / Private ltd co	Ancestral / Family
	Real estate / Others ____	Others ____	Company provided

Name of currency — Mention the foreign currency which you are earning

Gross annual income (₹): < 50K | 50K - 1 L | 1 - 3 L | 3 - 5 L | 5 - 7.5 L | 7.5 - 10 L | 10 - 15 L | 15 - 25 L | 25 - 50 L | 50 L - 1 CR | > 1 CR

Exp. Remittance to NRI Account(s)(Rs) Annually: Nil | < 0.5 L | 0.5 - 5 L | 5 - 15 L | 15 - 25 L | 25 - 50 L | 50 - 100 L | 100 - 250 L | 250 - 500 L | > 500 L

D) SECOND APPLICANT'S DETAILS / GUARDIAN (In case of minor account)

*PERSONAL DETAILS

(Address of Related Person) KYC Number of Related Person (if available)

Passport details mandatory if second applicant is an NRI

Related Person Type: Guardian of Minor | Nominee | Assignee | Authorized Representative | Beneficial Owner | Beneficiary

*Gender: Male | Female | Transgender — Date of Birth — Applicant Type: Minor | Guardian — *Marital Status: Married | Unmarried | Others

*Residential Status: NRI | PIO/OCI | Foreign National | Returning NRI | Resident (RFS) — *Spouse's Name

Existing Cust ID — [illegible] — Passport Expiry Date

**PAN No. — Aadhaar No. — *Passport No.

**If PAN not available, kindly attach Form 60: Y | N

Election / Voter's ID — Driving License — Driving License Expiry Date — NREGA Card

Govt ID Card — (Others (please specify)) — Mother's Name

Mother's Maiden Name — Father's Name

**Country / ies of Tax Residency (Include India): 1. 2. — *City of Birth — *Country of Birth

*Nationality: 1. 2. — **Tax Id No. (TIN) For tax resident of other country than India: 1. 2. — Tax Id No (TIN) Type: 1. 2.

**To also include USA, where the individual is a citizen/ green card holder of USA — *In case Tax Identification Number is not available, kindly provide functional equivalent*

*OVERSEAS ADDRESS

Choice of Correspondence: Indian Address | Overseas Address (If not ticked, correspondence will be sent to Overseas address)

(For existing customers, address given below will be updated for the primary applicant in all accounts held with the bank) — Attached Address Proof of: Indian Address | Overseas Address

Flat No & Bldg. Name

Landmark

City — State

Country — PIN Code

*Address Type for Tax purpose: Residence | Business | Residential and Business | Registered Office | Unspecified | Please tick if Address for Tax purpose is other than correspondence address

Type of Proof of Address: Passport | Driving License | UID (Aadhaar) | Voter ID | NREGA Job Card | Others ____

Please mention a prominent landmark to ensure that the deliverables reach you

*INDIAN ADDRESS

Flat No & Bldg. Name

Landmark

City — PIN Code

State — Country: I N D I A

*Address Type: Residence | Business | Residential and Business | Registered Office | Unspecified | I confirm that I do not have any Indian Address

Please mention a prominent landmark to ensure that the deliverables reach you

*CONTACT DETAILS

*E-mail ID

	Country code	Area code	
*Mobile No.			
Tel (R)			
Tel (O)			

(OTP will be sent to this mobile number)

Please register me for Ajmera Alert: SMS | E-MAIL

*OCCUPATIONAL AND INCOME DETAILS

Source of funds: Salary | Business income | Agriculture | Investment income | Others ____

Occupation: Salaried | Self-employed | Retired | Politician | Self-employed Professional | Housewife | Student | Unemployed | Others ____

If salaried employed with: Private ltd | Partnership | Proprietorship | Public limited | Public sector | Government | Multinational | Others ____

Self employed professional: Doctor | CA | Lawyer | Architect | IT consultant | Others ____

Self employed since	Nature of business	Type of company/firm	Residence Type
Years / Months	Manufacturing / Service provider	Sole proprietorship / Partnership	Owned / Rented/ Lease
Date of incorporation	Agriculture / Stock broker / Trader	Public ltd co / Private ltd co	Ancestral / Family
	Real estate / Others ____	Others ____	Company provided

Name of (employer) — Mention the foreign currency which you are earning

Gross annual income (₹): < 50K | 50K - 1 L | 1 - 3 L | 3 - 5 L | 5 - 7.5 L | 7.5 - 10 L | 10 - 15 L | 15 - 25 L | 25 - 50 L | 50 L - 1 CR | > 1 CR

Exp Remittance to NRI Accounts (NRI) Annually: Nil | < 0.5 L | 0.5 - 5 L | 5 - 10 L | 10 - 25 L | 25 - 50 L | 50 - 100 L | 100 - 200 L | 200 - 500 L | > 500 L

E) PEP DECLARATION

Politically exposed persons are individuals who are or have been entrusted with prominent public functions in a foreign country. Example of PEPs include but not limited to 1.Heads of States or of Governments, 2.Senior Politicians, 3.Senior Government/Judicial/Military Officer, 4.Senior Executives of state owned corporations, 5.Important political party officials, 6.[illegible] Diplomatic [illegible] outside the country. The term PEP also includes the families & close associates of the PEPs mentioned above. Families: This term includes close family members such as spouses, children, parents and siblings and may also include other blood relatives and relatives by marriage. Close associates: The term closely associated persons in the context of PEPs includes close business colleagues and personal advisors/consultants to the PEP as well as persons who obviously benefit significantly from being close to such a person. PEPs also include persons who are not currently falling under the above criteria but were doing so at any given point in time within the last one year.

Please tick: Yes / No: 1st applicant Politically Exposed: Yes | No — 2nd applicant Politically Exposed: Yes | No

F) DEBIT CARD DETAILS

NRE ACCOUNT				NRO ACCOUNT		EXISTING CARD LINKAGE: Please link my/our existing card as mentioned below
Appl	ATM	International Debit Card	If Other, mention card code (Bank Use)	ATM	Domestic Debit Card	
1st appl		Regular / Platinum / Others				1st appl NRE
						2nd appl NRE
						1st appl NRO
2nd appl		Regular / Platinum / Others				2nd appl NRO

G) NOMINATION (DA1): NOMINATION WILL BE APPLICABLE FOR ALL THE ACCOUNTS OPENED WITH THIS APPLICATION

Yes, I/We wish to nominate (as per details below) | No, I/We declare that I do not wish to make a nomination in my/our account

Nomination under Section 45 ZA of the Banking Regulation Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rules, 1985 in the respect of Bank deposits. I / We nominate the following person to whom in the event of my/our/minor's death the amount of deposit in the account, particulars whereof are given below, may be returned by HDFC BANK Ltd. by the account opening branch.

Nominee Name

*Company Name / Flat No & Bldg. Name

Please mention a prominent landmark to ensure that the deliverables reach you

*Road No./Name

*Landmark

*City | *State

Country | PIN Code

*Tel (R) | Relationship with Depositor

Date of Birth of Nominee

Leave blank if nominee is not a minor

As nominee is a minor on this date, I / We appoint the below to receive the amount of the deposit in the account on behalf of the nominee in the event of my / our / minor's death during the minority of the nominee.

Name | Age

Address

Witness 1 Name | *Witness 1 Signature

Address | Place | Date

Witness 2 Name | *Witness 2 Signature

Address | Place | Date

Nomination Registration No. (Bank Use) | Registration Date

* (Thumb impression must be attested by 2 witnesses)

* Where deposit is made in the name of minor, the nomination should be signed by a person lawfully entitled to act on the behalf of minor.

** If witnessed by an employee of HDFC Bank, provide employee number and office address along with the official stamp. Nomination can be made in favour of only one individual.

H) NRE ACCOUNT OPENING DETAILS

NRE ACCOUNT

Select any one NRE Savings | NRE Current [illegible]

Payment mode Cheque * | FCY Cash (only in person) | DD | Initial Payment Amount

Cheque / DD No. | Dated | Bank/Branch Name

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | AMB To Be Maintained

I) NRO ACCOUNT OPENING DETAILS

NRO ACCOUNT

Select any one NRO Savings | NRO Current [illegible]

Payment mode Cheque * | Cash (only in person) | DD | Initial Payment Amount

Cheque / DD No. | Dated | Bank/Branch Name

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | Avg. Monthly Balance To Be Maintained

J) RECURRING DEPOSIT OPENING DETAILS

RECURRING DEPOSIT

Mode of operation will be as selected in Sec. B, Pg. 1 for premature withdrawal / repayment / super saver of deposit

NRE RD | NRO RD | **Deposit Amount** | Tenor | Months

Debit A/c for installment | New A/c opened above | NRE A/c | NRO A/c | Existing A/c

Maturity Amt. to be paid to | New A/c opened above | NRE A/c | NRO A/c | Existing A/c

K) TERM DEPOSIT OPENING DETAILS

TERM DEPOSIT

Select any one NRE FD | NRO FD | FCNR | Deposit for Returning NRI's (RFC) (only face to face)

Mode of operation will be as selected in Sec. B, Pg. 1 for premature withdrawal / repayment / super saver of deposit

Deposit Currency | Deposit Amount | Tenor | Months | Days

[illegible]

Deposit Maturity Instruction | Renew Principal & Interest | Renew Principal & Pay Interest | Do Not Renew 1. ____ Credit to Account 2. ____ Issue Manager's Cheque [illegible]

Interest Payment Frequency | Monthly(NRE/NRO) | Quarterly(NRE/NRO/RFC) | Half Yearly(FCNR) | On Maturity (NRE/NRO/RFC/FCNR)

Interest /Maturity Amt. to be credited/paid in | 1.NRE A/c | 2.NRO A/c | Existing A/c

Initial Payment by HDFC Bank A/c Transfer | HDFC Bank A/c No.

Initial Payment by Cheque/DD/Wire Transfer | Cheque / DD | Wire Transfer | **Cheque/DD/Wire Transfer No.** | Dated

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | FCY Cash (only in person for placing in FCNR) | Amount

Bank/Branch Name | FD Type | Withdrawable | Non Withdrawable

L) ADDITIONAL FACILITIES

Select any one Super Saver (For NRE/NRO/FCNR Deposit. OD Limit is set in NRO A/c) (Separate Overdraft application to be submitted) | Sweep-in (For NRE A/c against NRE Deposit & NRO A/c against NRE/NRO Deposit)

Link my/our deposit with | 1.NRE A/c | 2.NRO A/c | Existing A/c

For Sweep-In facility, mention NRE/NRO A/c. No.(for Bank use) | **For Super Saver facility on NRE/NRO/FCNR deposit, mention NRO A/c No.**

	HDR	Spread	Swap Cost (FCNR)	Total	TT Buying Rate (FCNR)	OD Limit
Super Saver Facility		+	+			%

[illegible]

B) 1st and 2nd APPLICANT'S PHOTOGRAPH AND SIGNATURE

1st Applicant's latest passport size photo (Signature across the photograph)

Name: ____________________

Date: ____________

Place: ____________

1st applicant's signature/Guardian's signature (in case of minor)

2nd Applicant's latest passport size photo (Signature across the photograph)

Name: ____________________

Date: ____________

Place: ____________

2nd applicant's signature

By signing on this form I/We agree to abide with all the above mentioned declaration & term and conditions ("A" to "L")

H) FOR BANK USE ONLY

	Product Code	Account No.	Value date	Promo code	Sourcing Branch Code
NRE A/c Current/ Savings					
NRO A/c Current/ Savings					Company Form
NRE/NRO/ FCNR/RFC					
RECURRING DEPOSIT					WB Code

Funds parked for NRE A/c in	Amount in Rs.		Variance (Triple Seven)			Document Received Self Certified
Funds parked for NRO A/c in	Amount in Rs.		LG CODE		LC CODE	
Funds parked for FCNR in	Amount		ROI (Bank use)		%	
Funds parked for RD in	Amount in Rs.		ROI (Bank use)		%	
Funds parked for FD in	Amount in Rs.		ROI (Bank use)		%	

	Customer ID	Customer Category	Document Submitted
1st App.			1st ID Proof / Add. Proof / Photo
2nd App.			2nd ID Proof / Add. Proof / Photo

EMP Name: ____________ EMP Designation: ____________ Customer signed in my presence BDA Name: ____________

Signature verified Emp Code: ____________ Emp Branch Name: ____________ Signature verified Form approved Emp Code: ____________

EMP Signature | BDA Signature | Branch Stamp with date | CPU Stamp with date

G) PERSON OF INDIAN ORIGIN DECLARATION

I / We hereby declare that I/We am/are a Person of Indian Origin (PIO) because I satisfy one of the below mentioned conditions.

FIRST APPLICANT

- I held an Indian Passport earlier
- I am a spouse of ____________ who is PIO
- I am a spouse of ____________ who is an Indian Citizen
- My father/mother/grandfather/grandmother/great grandfather/great grandmother ____________ ____________ was an Indian citizen by virtue of the Constitution of India or the Citizenship Act 1955
- The father/mother/grandfather/grandmother/ great grandfather/great grandmother ____________ of my spouse was an Indian citizen by virtue of the Constitution of India or the Citizenship Act 1955

Further, I hereby (Please select from the below)

- Submit the below mentioned documents in support of my declaration
- Document Name ____________
- Document Name ____________
- Declare that I do not possess any document in support of my declaration

SECOND APPLICANT

- I held an Indian Passport earlier
- I am a spouse of ____________ who is PIO
- I am a spouse of ____________ who is an Indian Citizen
- My father/mother/grandfather/grandmother/great grandfather/great grandmother ____________ ____________ was an Indian citizen by virtue of the Constitution of India or the Citizenship Act 1955
- The father/mother/grandfather/grandmother/ great grandfather/great grandmother ____________ of my spouse was an Indian citizen by virtue of the Constitution of India or the Citizenship Act 1955

Further, I hereby (Please select from the below)

- Submit the below mentioned documents in support of my declaration
- Document Name ____________
- Document Name ____________
- Declare that I do not possess any document in support of my declaration

I / We confirm the above information is true and correct and that I may be required to prove my status as a PIO if / as questioned by any authority.

CUSTOMER ACKNOWLEDGEMENT

Please quote this ref. no. for any future communication

Date

Nomination taken: Yes / No

Instructions:

The PIN number for ATM/Debit card for carrying out transactions on the ATM will be dispatched to your mailing address by post.

If you do not receive your welcome kit within 3 weeks of the date of acknowledgement, kindly e-mail at nri@hdfcbank.com or contact nearest branch.

We request you to maintain confidentiality of the PIN number and the bank will not be liable.

Welcome kit would be delivered to the mailing address only.

ACCOUNT OPENING RULES:

- All necessary documentation as mandated by the regulatory / bank authorities should be provided for opening the account.
- In event of no salary credits for any continuous six months, the salary account will be converted to saving regular account & Terms and charges of Saving Regular Account will be applicable.
- All accounts should maintain the stipulated monthly balance based on the product program and location in which the account is opened.
- In case of the non maintenance of the stipulated average monthly balance, charges are outlined in the service charges and fees structure from time to time will be applicable.
- Savings account can be opened only by individuals for non-business purposes.
- In case of any query / suggestion / feedback / complaint relating to features of any of the products, you may write to nri@hdfcbank.com or call us to our phone banking number.

Signature of Bank official ____________

Telkar Acknowledgement - Branch Copy

Account Number

I/We confirm having received the Welcome Kit in an unsealed / sealed condition and confirm that the following instruments have been received by me:

1) Chequebook with 10 Cheque Leaves 2) NetBanking Pin 3) Phone Banking Pin

4) International Debit Card 5) Debit Card Pin 6) T & C booklet

Account Holder ____________

Contact Number ____________

Date ____________ Place ____________

Customer Signature

P) SIGNATURE MISMATCH DECLARATION

I/We declare that the signature on the Passport is different than my/our signature on the account opening form. Please consider the signature on this account opening application as my/our updated Signature for Bank Records.

FIRST APPLICANT

Old Signature as per passport/ Existing Customer ID | New Signature Desired for account opening

1st applicant's signature | 1st applicant's signature

SECOND APPLICANT

Old Signature as per passport/ Existing Customer ID | New Signature Desired for account opening

2nd applicant's signature | 2nd applicant's signature

Q) AMB DECLARATION

The Average Monthly Balance required to be maintained for the account is Rs. __________ **Product:** NRE Savings NRE Current NRO Savings NRO Current

I/We have understood that non-maintenance of the above Average Monthly Balance will attract charges. These charges have been explained to me for the respective product. I/We confirm that in event of no salary credits received in my/our salary account for continuous six months, the salary account will be converted to Regular Savings Account. I/We understand the detailed charging structure for non-maintenance of the same is available on HDFC Bank's website on the link : www.hdfcbank.com/[illegible]

R) TATKAL ACKNOWLEDGEMENT (If Applicable)

I / We confirm having received the Welcome Kit in an untampered / sealed condition and confirm that the below deliverables have been received by me/us:

1) Chequebook with 10 Cheque Leaves 2) Debit Card Pin 3) Netbanking Pin 4) Phone banking Pin 5) Debit Card for NRE A/c / ATM card for NRO A/c 6) T & C booklet

S) CLOSE RELATIVE DECLARATION (To be filled by the applicant if he/she does not have any address proof)

I hereby confirm that Mr./Ms. __________ who is desirous of opening an account with your Bank is my __________ (in relation). He / She is residing since __________ (months/years) at the below mentioned address:

__________ and telephone number being __________. Mr./Ms. __________ does not hold a documentary address proof in his/her independent name and hence the address proof in my name is being provided to the bank for the purpose of address verification. I have no objection towards receiving any correspondence from the bank in the name of applicant at my above mentioned address since the applicant is residing with me.

I enclose herewith (1) Self attested (document name) __________ as Identity Proof

(2) Self attested (document name) __________ as Address Proof

Declarant's Name __________ Customer ID (if banking with HDFC Bank) __________

Declarant's Signature

T) DECLARATION FOR A NON- ENGLISH LANGUAGE DOCUMENT SUBMITTED IN THE BANK

Since my/our document is in __________ language, I / We hereby submit the following Non English document translated below for account opening and also confirm the validity of the Non English document:

	FIRST APPLICANT	SECOND APPLICANT
1. Document Name		
2. Document Number		
3. Issued By (Authority)		
4. Issued At (Place)		
5. Issued On		
6. Valid Till		

For the document[s] in a foreign language other than english, I/We permit HDFC bank and its officers to verify the details of the document by using translation of external sources which are available in public domain and also the use of external agencies wherever applicable. In consideration of same, I/We hereby keep HDFC bank and its successors and its officers indemnified against all losses and damages arising out of the information shared with these external agencies.

U) DECLARATION OF SAUDI ARABIA RESIDENTS ON VISIT TO INDIA

FIRST APPLICANT

[illegible] (Company Name) __________

I am on a leave for (*No. of days & months) __________ Days __________ Months and will be going back to Saudi Arabia on / by (*Date) __________

dd __________ mm __________ yyyy

SECOND APPLICANT

[illegible] (Company Name) __________

I am on a leave for (*No. of days & months) __________ Days __________ Months and will be going back to Saudi Arabia on / by (*Date) __________

dd __________ mm __________ yyyy

[illegible]

V) DECLARATION FOR MINOR ACCOUNT (Not applicable if nominee is not a minor)

Type of Guardian: Father Mother Court Appointed

Declaration: I hereby declare that the date of birth of the minor who is my __________ is __________ and I am his/her natural and lawful guardian/guardian appointed by court order dated __________ (copy enclosed). I shall represent the said minor in all future transactions of any description in the above account until the said minor attains majority. I declare that the amounts withdrawn from this account by me will be used for the benefit of the minor. I indemnify the bank against the claim of the above minor for any withdrawal/ transactions made by me in his/her account.

#Dear Customer,

As per RBI Guidelines banks are advised to exercise due diligence by closely monitoring the transactions carried out in the account on an ongoing basis. This is done in order to ensure that the transactions are in sync with the customer profile as provided while opening the account. Hence it is imperative that the profile details provided by you is accurate and correct. It is very important for your profile details to correspond/match with the transaction pattern and balance in your account. Basis the information provided, the bank shall review the transaction pattern in your account which would be used to report transactions of suspicious nature if any. Should there be any change in your profile details, request you to please visit your nearest HDFC bank branch and update the details.

[illegible]

M) MARINERS DECLARATION (Only for First Applicant)

I hereby confirm that ☐ I am proceeding on a contract with ☐ I have just returned after completion of my contract and am on break for ____________ days / months with (Company Name) ____________ registered in (Company Address) ____________

____________ I request you to kindly open an NRE / NRO account. Attaching self-attested copies of 1) **Passport copies** 2) **Latest contract copy** 3) **CDC (Continuous Discharge Certificate) Booklet copy with the customer name, other details and latest discharge stamping** (compulsory)

Note: For applicant proceeding on a contract - Account will be opened in block status and until the submission of the following documents - **1) Passport pages showing exit & entry stamp of my journey outside India 2) Previous Contract copy 3) Visa 4) Copy of CDC Book indicating the trip abroad (applicable for cargo ship)**

I also confirm that I will inform the bank incase I am unable to proceed on the contract and have the non resident accounts opened in my name redesignated to resident / RFC accounts.

S) EXTENDED KYC

Certification: [illegible]

CRS Terms and Conditions: [illegible]

CRS Instructions: [illegible]

[illegible]

FATCA/ CRS Indicia observed (Ticked)	Documentation required for Cure of FATCA/ CRS Indicia
	If customer does not agree to be Specified U.S. person/ reportable person status
1. U.S. place of birth	1. Self certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2. Residence/mailing address in a country other than India	1. Self certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3. Telephone number in a country other than India (and no telephone number in India provided)	1. Self certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4. Standing instructions to transfer funds to an account maintained in a country other than India	1. Self certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body* **2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)**

* Government or agency thereof or a municipality

T) DECLARATION

[illegible]

DO NOT CALL REGISTRY: [illegible]

1st applicant's signature/Guardian's signature in case of minor

2nd applicant's signature/Guardian's signature in case of minor

Name: ____________ Date: ____________ Name: ____________ Date: ____________

By signing on this form I / We agree to abide with all the above mentioned declarations ("A" to "T")

I / We confirm that I / We have read and understood the above Declaration and that the details provided on the form are correct. I / We also confirm that my account has been opened by Bank officer Mr./Mrs. ____________ and I/We have signed in his/her presence.

HDFC BANK

We understand your world

ACCOUNT CUM TERM DEPOSIT OPENING FORM

FOR NON RESIDENT - INDIVIDUAL CUSTOMERS

Bank use only: Face to Face Tatkal A/c | Face to Face Non Tatkal A/c | Non Face to Face

Application Date

(To be filled by financial institution) KYC Number

Application Type - New | A/c Type - Normal | 555

Please open my / our account with HDFC Bank in the Branch mentioned below. I / we hereby furnish the details along with supporting documents. Relevant options have been ticked as required / applicable.

State | City

Branch | Branch Code(Bank use only) | (All fields marked "*" are MANDATORY)

*A) APPLICANT'S NAME (SAME AS ID PROOF)

(Below name combination / holding pattern will be applicable for all the products opted for in this application form)

APPL.	PREFIX	FIRST NAME	MIDDLE NAME	SURNAME
1st				
Maiden Name				
2nd				
Maiden Name				

B) OPERATING INSTRUCTION

(Below operating instruction will be applicable for all the products opted for in this application form)

Mandate / POA (please attach relevant document)

NRE/FCNR/RFC Account: Single | Either or Survivor | Former or Survivor | Minor under Guardian | Jointly (ATM / Debit card not issued) | Yes | No

NRO Account: Single | Either or Survivor | Former or Survivor | Minor under Guardian | Jointly (ATM / Debit card not issued) | Yes | No

C) FIRST APPLICANT'S DETAILS

*PERSONAL DETAILS

*Gender: Male | Female | Transgender | Date of Birth | Applicant Type: Minor Y N | *Marital Status: Married | Unmarried | Other

Residential Status: NRI | PIO/OCI | Foreign National | Resident (Returning NRI) | *Spouse's Name

Existing Cust Id | Passport Expiry Date

*PAN No | Aadhaar No | *Passport No

**If PAN not available, kindly attach Form 60: Y N | Mother's Name

*Mother's Maiden Name | *Father's Name

**Country / ies of Tax Residency (Outside India): 1. 2. | *City of birth | *Country of birth

*Nationality: 1. 2. | **Tax Id No. (TIN) for tax resident of other country than India: 1. 2. | *Tax Id No (TIN) Type: 1. 2.

**To also include USA, where the individual is a citizen/ green card holder of USA. | *In case Tax Identification Number is not available, kindly provide functional equivalent*

*OVERSEAS ADDRESS

Choice of Correspondence: Indian Address | Overseas Address (If not ticked, correspondence will be sent to Overseas address)

(For existing customers, address given below will be updated for the primary applicant in all accounts held with the bank) | Attached Address Proof of: Indian Address | Overseas Address

Flat No & Bldg. Name

Landmark

City | State

Country | PIN Code

*Name of Proof of Address: Passport | Driving License | UID (Aadhar) | Voter ID | NREGA Job Card | Others

*Address Type for Tax purpose: Residence | Business | Residential and Business | Registered Office | Unspecified | Please tick if Address for Tax purpose is other than correspondence address

*INDIAN ADDRESS

Flat No & Bldg. Name

Landmark

City | PIN Code

State | Country: I N D I A

*Address Type: Residence | Business | Residential and Business | Registered Office | Unspecified | I confirm that I do not have any Indian Address

*CONTACT DETAILS

*E-mail ID

Monthly email statement will be sent to the above e-mail Id. All accounts linked to Cust ID of 1st Applicant will be registered for Free Email Statements.

Country code | Area code

*Mobile No. | (OTP will be sent to this mobile number)

Tel (R) | Please register me for A) Insta Alert: SMS | E-MAIL

Tel (O)

*OCCUPATIONAL AND INCOME DETAILS

Source of funds: Salary | Business income | Agriculture | Investment income | Others

Occupation: Salaried | Self employed | Retired | Politician | Self employed Professional | Housewife | Student | Unemployed | Others

If salaried employed with: Private ltd | Partnership | Proprietorship | Public limited | Public sector | Government | Multinational | Others

Self employed professional: Doctor | CA | Lawyer | Architect | IT consultant | Others

Self employed since	Nature of business	Type of company/firm	Residence Type
Years Months	Manufacturing / Service provider	Sole proprietorship / Partnership	Owned / Rented / Lease
Date of incorporation	Agriculture / Stock broker / Trader	Public ltd co. / Private ltd co.	Ancestral / Family
	Real estate / Others	Others	Company provided

Name of currency | Mention the foreign currency which you are earning

Gross annual income (₹) | < 50K | 50K - 1 L | 1 - 5 L | 3 - 5 L | 5 - 7.5 L | 7.5 - 10 L | 10 - 15 L | 15 - 25 L | 25 - 50 L | 50 L - 1 CR | > 1 CR

Exp Remittance in NR Accounts (INR) Annually | NIL | < 0.5 L | 0.5 - 5 L | 5 - 10 L | 10 - 25 L | 25 - 50 L | 50 - 100 L | 100 - 250 L | 250 - 500 L | >500 L

D) SECOND APPLICANT'S DETAILS / GUARDIAN (In case of minor account)

*PERSONAL DETAILS

(Address of Related Person) KYC Number of Related Person (if available)

Passport details mandatory if account applicant is an NRI

Related Person Type: Guardian of Minor / Nominee / Assignee / Authorized Representative / Beneficial Owner / Beneficiary

*Gender: Male / Female / Transgender Date of Birth Applicant Type: Minor / Guardian *Marital Status: Married / Unmarried / Other

*Residential Status: NRI / PIO/OCI / Foreign National / Returning NRI / Resident (FN) *Spouse's Name

Existing Cust Id [illegible] Passport Expiry Date

*PAN No. Aadhaar No. *Passport No.

*If PAN not available, kindly attach Form 60 / 61

Election / Voter's ID Driving License Driving License Expiry Date NREGA Card

Govt ID Card Others (pls specify) Mother's Name

Mother's Maiden Name Father's Name

**Country / ies of Tax Residency (Outside India)	1-	*City of birth	
	2-	*Country of birth	
*Nationality	1-	*Tax Id No. (TIN) For tax resident of other country than India 1-	Tax Id No. (TIN) Type 1-
	2-	2-	2-

*To also include USA, where the individual is a citizen/ green card holder of USA. *In case Tax Identification Number is not available, kindly provide functional equivalent*

*OVERSEAS ADDRESS

Choice of Correspondence: Indian Address / Overseas Address (If not ticked, correspondence will be sent to Overseas address)

(For existing customers, address given below will be updated for the primary applicant in all accounts held with the bank) Attached Address Proof of: Indian Address / Overseas Address

Flat No & Bldg. Name

Landmark

City State

Country PIN Code

*Name of Proof of Address: Passport / Driving License / UID (Aadhar) / Voter ID / NREGA Job Card / Others ____

Please mention a prominent landmark to ensure that the deliverables reach you

*Address Type for Tax purpose: Residence / Business / Residential and Business / Registered Office / Unspecified / Please tick if Address for Tax purpose is other than correspondence address

*INDIAN ADDRESS

Flat No & Bldg. Name

Landmark

City PIN Code

State Country I N D I A

Please mention a prominent landmark to ensure that the deliverables reach you

*Address Type: Residence / Business / Residential and Business / Registered Office / Unspecified / I confirm that I do not have any Indian Address

*CONTACT DETAILS

*E-mail ID

Country code Area code

*Mobile No. (OTP will be sent to this mobile number)

Tel (R) Please register me for Aj [illegible] Alert: SMS / E-MAIL

Tel (O)

*OCCUPATIONAL AND INCOME DETAILS

Source of funds: Salary / Business income / Agriculture / Investment income / Others ____

Occupation: Salaried / Self employed / Retired / Politician / Self employed Professional / Housewife / Student / Unemployed / Others ____

If salaried employed with: Private ltd. / Partnership / Proprietorship / Public limited / Public sector / Government / Multinational / Others ____

Self employed professional: Doctor / CA / Lawyer / Architect / IT consultant / Others ____

Self employed since	Nature of business	Type of company/firm	Residence Type
Years Months	Manufacturing / Service provider	Sole proprietorship / Partnership	Owned / Rented / Lease
Date of incorporation	Agriculture / Stock broker / Trader	Public ltd co. / Private ltd co.	Ancestral / Family
	Real estate / Others ____	Others ____	Company provided

Name of currency: Mention the foreign currency which you are earning

Gross annual income (₹): < 50K / 50K - 1 L / 1 - 3 L / 3 - 5 L / 5 - 7.5 L / 7.5 - 10 L / 10 - 15 L / 15 - 25 L / 25 - 50 L / 50 L - 1 CR / > 1 CR

Exp Remittance in NR Accounts (NR) Annually: Nil / < 0.5 L / 0.5 - 5 L / 5 - 10 L / 10 - 25 L / 25 - 50 L / 50 - 100 L / 100 - 200 L / 200 - 500 L / >500 L

E) PEP DECLARATION

Politically exposed persons are individuals who are or have been entrusted with prominent public functions in a foreign country. Example of 'PEPs' include but not limited to 1-Heads of States or of Governments, 2-Senior Politicians, 3-Senior Government/Judicial/Military Officer 4-Senior Executives of state owned corporations 5-Important political party officials 6-Senior Indian Diplomats posted outside the country. The term PEP also includes the families & close associates of the PEPs mentioned above. Families: The term families includes close family members such as spouses, children, parents and siblings and may also include other blood relatives and relatives by marriage. Close associates: The term closely associated persons in the context of PEPs includes close business colleagues and personal advisors/consultants to the PEP as well as persons who obviously benefit significantly from being close to such a person. PEPs also include persons who are not currently fitting under the above criteria but were doing so at any given point in time within the last one year.

Please tick Yes / No: 1st applicant Politically Exposed: Yes / No 2nd applicant Politically Exposed: Yes / No

F) DEBIT CARD DETAILS

NRE ACCOUNT				NRO ACCOUNT		EXISTING CARD LINKAGE Please list 16 digit existing card no. mentioned below
Appl.	ATM	International Debit Card	If Other, mention card details (Card No.)	ATM	Domestic Debit Card	
1st appl		Regular / Platinum / Others				1st appl NRE X X X X X X
						2nd appl NRE X X X X X X
						1st appl NRO X X X X X X
2nd appl		Regular / Platinum / Others				2nd appl NRO X X X X X X

G) NOMINATION (DA1): NOMINATION WILL BE APPLICABLE FOR ALL THE ACCOUNTS OPENED WITH THIS APPLICATION

Yes, I / We wish to nominate (as per details below) | No, I/We declare that I do not wish to make a nomination in my/our account

Nomination under Section 45 ZA of the Banking Regulation Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rules 1985 in the respect of Bank deposits. I / We nominate the following person to whom in the event of my/our/minor's death the amount of deposit in the account, particulars whereof are given below, may be returned by HDFC BANK Ltd. by the account opening branch.

Nominee Name

*Company Name / Flat No & Bldg. Name

Please mention a prominent landmark to ensure that the communication reach you

*Road No./Name

*Landmark

*City | *State

Country | PIN Code

*Tel (R) | Relationship with Depositor

Date of Birth of Nominee

Leave out if nominee is not a minor

As nominee is a minor on this date, I / We appoint the below to receive the amount of the deposit in the account on behalf of the nominee in the event of my / our / minor's death during the minority of the nominee

Name | Age

Address

Witness 1 Name: | *Witness 1 Signature:

Address: | Place: | Date

Witness 2 Name: | *Witness 2 Signature:

Address: | Place: | Date

Nomination Registration No. (Bank Use) | Registration Date

**(Thumb impression shall be attested by 2 witnesses)

* Where deposit is made in the name of minor, the nomination should be signed by a person lawfully entitled to act on the behalf of minor.

** If witnessed by an employee of HDFC Bank, provide employee number and office address along with the official stamp. Nomination can be made in favour of only one individual.

H) NRE ACCOUNT OPENING DETAILS

NRE ACCOUNT

Select any one NRE Savings | NRE Current [illegible]

Payment mode Cheque * | FCY Cash (only in person) | DD | Initial Payment Amount

Cheque / DD No. | Dated | Bank/Branch Name

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | AMB To Be Maintained

I) NRO ACCOUNT OPENING DETAILS

NRO ACCOUNT

Select any one NRO Savings | NRO Current [illegible]

Payment mode Cheque * | Cash (only in person) | DD | Initial Payment Amount

Cheque / DD No. | Dated | Bank/Branch Name

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | Avg. Monthly Balance To Be Maintained

J) RECURRING DEPOSIT OPENING DETAILS

RECURRING DEPOSIT

Mode of operation will be as selected in Sec. B, Pg. 1 for premature withdrawal / repayment / super saver of deposit

NRE RD | NRO RD | **Deposit Amount** | Tenor | Months

Debit A/c for Installment | New A/c opened above | NRE A/c | NRO A/c | Existing A/c

Maturity Amt. to be paid in | New A/c opened above | NRE A/c | NRO A/c | Existing A/c

K) TERM DEPOSIT OPENING DETAILS

TERM DEPOSIT

Select any one *NRE FD | NRO FD | *FCNR | Deposit for Returning NRIs (RFC) (only Face to face)

Mode of operation will be as selected in Sec. B, Pg. 1 for premature withdrawal / repayment / super saver of deposit

[illegible]

Deposit Currency | Deposit Amount | Tenor | Months | Days

Deposit Maturity Instruction | Renew Principal & Interest | Renew Principal & Pay Interest | Do Not Renew 1.____ Credit to Account 2.____ Issue Manager's Cheque [illegible]

Interest Payment Frequency | Monthly(NRE/NRO) | Quarterly(NRE/NRO/RFC) | Half Yearly(FCNR) | On Maturity (NRE/NRO/RFC/FCNR)

Interest /Maturity Amt. to be credited/paid in | 1.NRE A/c | 2.NRO A/c | Existing A/c

Initial Payment by HDFC Bank A/c Transfer | HDFC Bank A/c No.

Initial Payment by Cheque/DD/Wire Transfer | Cheque / DD | Wire Transfer | **Cheque/DD/Wire Transfer No.** | Dated

Currency ₹ | $ | £ | € | ¥ | A$ | C$ | Other | FCY Cash (only in person for placing FCNR) | Amount

Bank/Branch Name | FD Type | Withdrawable | Non Withdrawable

L) ADDITIONAL FACILITIES

Select any one Super Saver (For NRE/NRO/FCNR Deposit, OD Limit is set in NRO A/c) (Separate Overdraft application to be submitted) | Sweep in (For NRE A/c against NRE Deposit & NRO A/c against NRE/NRO Deposit)

Link my/our deposit with: 1.NRE A/c | 2.NRO A/c | Existing A/c

For Sweep-in facility, mention NRE/NRO A/c No.(for bank use) | **For Super Saver facility on NRE/NRO/FCNR deposit, mention NRO A/c No.**

	FDR		Spread		Swap Cost (FCNR)		Total	TT Buying Rate (FCNR)	OD Limit
Super Saver Facility		+		+		=			%

Super Saver: I/We hereby declare that Overdraft/Loan sanctioned against my/our above deposit is for the purpose of meeting my personal/business expenditure. [illegible]

Sweep-in: I/We hereby declare that in case of insufficient balance in my/our current/savings account, funds will be transferred to my/our current/savings account by breaking units of my/our fixed deposit.

Deposit Advice: Customers will receive FD Advice on their registered Email id. [illegible]

N) 1st and 2nd APPLICANT'S PHOTOGRAPH AND SIGNATURE

Name: ______________________

Date: ______________

Place: ______________

1st Applicant's latest passport size photo (Signature across the photograph)

1st applicant's signature/Guardian's signature (in case of minor)

Name: ______________________

Date: ______________

Place: ______________

2nd Applicant's latest passport size photo (Signature across the photograph)

2nd applicant's signature

By signing on this form I/We agree to abide with all the above mentioned declaration & terms and conditions ("A" to "L")

O) FOR BANK USE ONLY

	Product Code	Account No.	Value date	Promo code	Sourcing Branch Code
NRE A/c Current/ Savings					
NRO A/c Current/ Savings					Company Code
NRE/NRO FCNR/RTC					
RECURRING DEPOSIT					IMS Code

Funds parked for NRE A/c in	Amount in Rs		Validate (Super Saver)			Document Received Self Certified
Funds parked for NRO A/c in	Amount in Rs		LG CODE		LC CODE	
Funds parked for FCNR in	Amount		ROI (Bank use)			%
Funds parked for RD in	Amount in Rs		ROI (Bank use)			%
Funds parked for FD in	Amount in Rs		ROI (Bank use)			%

	Customer ID	Customer Category	Document Submitted
1st App			ID Proof / Add Proof / Photo
2nd App			ID Proof / Add Proof / Photo

EMP Name ______________ EMP Designation ______________ Customer signed in my presence BDR Name ______________

Signature verified Emp Code ______________ Emp Branch Name ______________ Signature verified Form approved Emp Code ______________

EMP Signature | BDR Signature | Branch Stamp with date | CPC Stamp with date

Q) PERSON OF INDIAN ORIGIN DECLARATION

I / We hereby declare that I/We am/are a Person of Indian Origin (PIO) because I satisfy one of the below mentioned conditions

FIRST APPLICANT	SECOND APPLICANT
I held an Indian Passport earlier	I held an Indian Passport earlier
I am a spouse of ______________ who is PIO	I am a spouse of ______________ who is PIO
I am a spouse of ______________ who is an Indian Citizen	I am a spouse of ______________ who is an Indian Citizen
My father/mother/grandfather/grandmother/great grandfather/great grandmother ______________ was an Indian citizen by virtue of the Constitution of India or the Citizenship Act, 1955	My father/mother/grandfather/grandmother/great grandfather/great grandmother ______________ was an Indian citizen by virtue of the Constitution of India or the Citizenship Act, 1955
The father/mother/grandfather/grandmother/great grandfather/great grandmother ______________ of my spouse was an Indian citizen by virtue of the Constitution of India or the Citizenship Act, 1955	The father/mother/grandfather/grandmother/great grandfather/great grandmother ______________ of my spouse was an Indian citizen by virtue of the Constitution of India or the Citizenship Act, 1955
Further, I hereby (Please select from the below)	Further, I hereby (Please select from the below)
Submit the below mentioned documents in support of my declaration	Submit the below mentioned documents in support of my declaration
Document Name ______________	Document Name ______________
Document Name ______________	Document Name ______________
Declare that I do not possess any document in support of my declaration	Declare that I do not possess any document in support of my declaration

I / We confirm the above information is true and correct and that I may be required to prove my status as a "PIO" if so questioned by any authority.

CUSTOMER ACKNOWLEDGEMENT

Please quote this ref. no. for any future communication

Date

Nomination taken: Yes / No

Instructions:

[illegible]

ACCOUNT OPENING RULES:

[illegible]

Signature of Bank official ______________

Yatra Acknowledgement - Branch Copy

Account Number

I/We confirm having received the Welcome Kit in an untampered / sealed condition and confirm that the following deliverables have been received by me:

1) Chequebook with 10 Cheque Leaves 2) Netbanking Pin 3) Phone banking Pin 4) International Debit Card 5) Debit Card Pin 6) T & C booklet

Account Holder ______________

Contact Number ______________

Date ______________ Place ______________

Customer Signature

P) SIGNATURE MISMATCH DECLARATION

I/We declare that the signature on the Passport is different than my/our signature on the account opening form. Please consider the signature on this account opening application as my/our updated Signature for Bank Records.

FIRST APPLICANT

Old Signature as per passport/ Existing Customer ID	New Signature Desired for account opening
1st applicant's signature	1st applicant's signature

SECOND APPLICANT

Old Signature as per passport/ Existing Customer ID	New Signature Desired for account opening
2nd applicant's signature	2nd applicant's signature

Q) AMB DECLARATION

The Average Monthly Balance required to be maintained for the account is Rs. ______ Product: NRE Savings NRE Current NRO Savings NRO Current

I/We have understood that non maintenance of the above Average Monthly Balance will attract charges. These charges have been explained to me for the respective product. I/We confirm that in event of no salary credits received in my/our salary account for continuous six months, the salary account will be converted to Regular Savings Account. I/We understand the detailed charging structure for non maintenance of the same is available on HDFC Bank's website on the link : www.hdfcbank.com/nri/fees

R) TATKAL ACKNOWLEDGEMENT (If Applicable)

I / We confirm having received the Welcome Kit in an untampered / sealed condition and confirm that the below deliverables have been received by me/us:
1) Chequebook with 10 Cheque Leaves 2) Debit Card Pin 3) Netbanking Pin 4) Phone banking Pin 5) Debit Card for NRE A/c / ATM card for NRO A/c 6) T & C booklet

S) CLOSE RELATIVE DECLARATION (To be filled by the applicant if he/she does not have any address proof)

I hereby confirm that Mr. /Ms. ______________ who is desirous of opening an account with your Bank is my ______________ (in relation). He / She is residing since ________ (months/years) at the below mentioned address.

____ and telephone number

being ______________ Mr. /Ms. ______________________ does not hold a documentary address proof in his /her independent name and hence the address proof in my name is being provided to the bank for the purpose of address verification. I have no objection towards receiving any correspondence from the bank in the name of applicant at my above mentioned address since the applicant is residing with me.

I enclose herewith (1) Self attested document name: ______________________ as Identity Proof

(2) Self attested document name: ______________________ as Address Proof

Declarant's Name ______________________ Customer ID (if banking with HDFC Bank) ______________

Declarant's Signature

T) DECLARATION FOR A NON- ENGLISH LANGUAGE DOCUMENT SUBMITTED IN THE BANK

Since my/our document is in ______________ language, I / We hereby submit the following Non English document (translated below) for account opening and also confirm the validity of the Non English document.

	FIRST APPLICANT	SECOND APPLICANT
1. Document Name		
2. Document Number		
3. Issued By (Authority)		
4. Issued At (Place)		
5. Issued On		
6. Valid Till		

For the document in a foreign language other than english, I/We permit HDFC bank and its officers to verify the details of the document by using translation of external sources which are available in public domain and also the use of external agencies wherever applicable. In consideration of same, I/We hereby keep HDFC bank and its successors and its officers saved against all losses and damages arising out of the information shared with these external agencies.

U) DECLARATION OF SAUDI ARABIA RESIDENTS ON VISIT TO INDIA

FIRST APPLICANT	SECOND APPLICANT
I hereby confirm that I have just returned from Saudi Arabia. I have a valid residential visa (IQAMA) with (*Company Name) ______________ I am on a leave for (*No. of days & months) ________ Days ________ Months and will be going back to Saudi Arabia on / by (*Date) ________ dd ________ mm ________ yyyy	I hereby confirm that I have just returned from Saudi Arabia. I have a valid residential visa (IQAMA) with (*Company Name) ______________ I am on a leave for (*No. of days & months) ________ Days ________ Months and will be going back to Saudi Arabia on / by (*Date) ________ dd ________ mm ________ yyyy

I/we request you to kindly open an NRE/NRO account in my/our name on the basis of the valid passport and the immigration stamp on the passport confirming my/our landing in India. I/we also confirm that I/we will inform the bank in case the situation unable to proceed to Saudi Arabia for work or in case not to go, and will have the non-resident accounts opened in my/our name re-designated to resident/RFC accounts (as eligible).

V) DECLARATION FOR MINOR ACCOUNT (Not applicable if member is not a minor)

Type of Guardian: Father Mother Court Appointed

Declaration: I hereby declare that the date of birth of the minor who is my ______________ is __________ and I am his/her natural and lawful guardian/guardian appointed by court order dated __________ (copy enclosed). I shall represent the said minor in all future transactions of any description in the above account until the said minor attains majority. I declare that the amounts withdrawn from this account by me will be used for the benefit of the minor. I indemnify the bank against the claim of the above minor for any withdrawal transactions made by me in his/ her account.

#Dear Customer,

As per RBI Guidelines banks are advised to exercise due diligence by closely monitoring the transactions carried out in the account on an ongoing basis. This is done in order to ensure that the transactions are in sync with the customer profile as provided while opening the account. Hence it is imperative that the profile details provided by you is accurate and correct. It is very important for your profile details to correspond/match with the transaction pattern and balance in your account. Basis the information provided, the bank shall review the transaction pattern in your account which would be used to report transactions of suspicious nature if any. Should there be any change in your profile details, request you to please visit your nearest HDFC bank branch and update the details.

*Business / Trading Partnership / Proprietary / Company / Organisations cannot open a Savings Account. Trusts / Societies / Charitable / Educational institutions may open a savings account subject to conditions. [illegible]

[illegible]

W) MARINERS DECLARATION (Only for First Applicant)

I hereby confirm that ☐ I am proceeding on a contract with ☐ I have just returned after completion of my contract and am on leave for ____________ days / months with (Company Name) ____________ registered in (Company Address) ____________

____________ I request you to kindly open an NRE / NRO account. Attaching self attested copies of **1) Passport copies 2) Latest contract copy 3) CDC (Continuous Discharge Certificate) Booklet copy with the customer name, other details and latest discharge stamping (compulsory)**

Note: The applicant proceeding on a contract - Account will be opened in block status and until the submission of the following documents: - **1) Passport pages showing exit & entry stamp of my journey outside India 2) Previous Contract copy 3) Visa 4) Copy of CDC Book indicating the trip abroad (applicable for cargo ship)**

I also confirm that I will inform the bank incase I am unable to proceed on the contract and have the non resident accounts opened in my name redesignated to resident / RFC accounts.

X) EXTENDED KYC

Certification: I/We have understood the information requirements of this Form as per the CBDT notified Rules 114F to 114H and hereby confirm that the information provided by me/us on this Form is true, correct and complete. I/We also confirm that I/We have read and understood the Terms and Conditions below and hereby accept the same. I/We understand that my personal details as provided / available in the bank records will be used for CBDT reporting / Central KYC Registry. I hereby consent to receiving information from Central KYC Registry through SMS / Email.

CBDT Terms and Conditions: The Central Board of Direct Taxes (CBDT) has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with HDFC Bank or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

CBDT Instructions: If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with your US Tax Identification Number.

* It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. In case customer has the following indicia pertaining to a foreign country and yet declares self to be non tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below

FATCA/ CRS Indicia observed (Ticked)	Documentation required for Cure of FATCA/ CRS indicia
	If customer does not agree to be Specified U.S. person/ reportable person status
1. U.S. place of birth	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes 2. Non US passport or any non US government issued document evidencing nationality or citizenship (refer list below); AND 3. Any one of the following documents: a. Certified Copy of "Certificate of Loss of Nationality or b. Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
2. Residence/mailing address in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
3. Telephone number in a country other than India (and no telephone number in India provided)	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)
4. Standing instructions to transfer funds to an account maintained in a country other than India	1. Self-certification (in attached format) that the account holder is neither a citizen of United States of America nor a resident for tax purposes; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body* 2. Valid identification issued by an authorized government body* (e.g. Passport, National identity card, etc.)

* Government or agency thereof or a municipality

Y) DECLARATION

I/We have read and understood the Terms and Conditions & the Privacy Notice (Refer the link on website - (Global Privacy Notice): https://www.hdfcbank.com/aboutus/terms_conditions/privacy.htm | (EU Privacy Notice): https://www.hdfcbank.com/[illegible]) governing the opening of an account with HDFC Bank and those relating to various services including but not limited to (a) ATMs (b) Phone Banking (c) Debit Card (d) Mobile Banking (e) Net Banking (f) Bill Pay Facility (g) Insta alert (h) Email statements. I hereby consent myself and/or as the legal guardian of minor to the processing of Personal Data as described in the privacy notice. This consent and authorization shall be valid in original, copy or electronic form. I understand that failure or refusal to provide consent may prohibit HDFC Bank from providing with products, services, or benefits. [illegible] agencies, law enforcement agencies and fraud prevention agencies for use in verifying my identity, credit decisions, regulatory requirement, legal investigation, fraud and money laundering prevention. [illegible] HDFC Bank, and to third parties engaged by the Bank.

(1) I/We hereby declare that my / our nationality / residential status is true and correct as declared above and in the accompanying documents. [illegible] (2) I/We agree to abide by the provisions of FEMA and RBI guidelines. [illegible] (3) I/We understand [illegible] (4) I/We agree [illegible] (5) I/We hereby authorize the Bank [illegible] (6) I/We [illegible] (7) I/We confirm [illegible] (8) I/We confirm [illegible] (9) I/We understand that HDFC Bank reserves the right to reject application form without providing any reason for the same. (10) I/We ensure [illegible] (11) I/We hereby agree and confirm [illegible] (12) I/We agree [illegible] (13) I/We hereby give voluntarily at my/our own discretion my/our Aadhaar number as issued by Government of India to HDFC Bank along with my/our other KYC documents [illegible] Credits to NRO Accounts: I/We hereby declare that only legitimate dues in India which would include current income like rent, dividend, pension and interest etc., sale proceeds of assets including immovable property acquired out of rupee/foreign currency funds or by way of legacy/inheritance will be deposited in my/our NRO Account.

DO NOT CALL REGISTRY: I/We understand that in case I/We do not wish to receive promotional information through telephonic calls / email / sms on products and services not currently availed by me/us, I/we can register for 'Do Not Call' service through the Bank's website www.hdfcbank.com or other channels that the Bank may offer. I/We agree that this service will not apply to receipt of advice and information regarding products and services currently availed by me/us, to help me/us in fully realizing the benefits of the range of financial solutions designed to make my/our relationship value added and more convenient.

1st applicant's signature/Guardian's signature in case of minors

2nd applicant's signature/Guardian's signature in case of minors

Name: ____________ Date: ____________ Name: ____________ Date: ____________

By signing on this form I/ We agree to abide with all the above mentioned declarations ("A" to "Y")

I / We confirm that I / We have read and understood the above Declaration and that the details provided on the form are correct. I / We also confirm that my account has been opened by Bank officer Mr./Ms. ____________ and I/We have signed in his/her presence.

Format for undertaking / confirmation from client under Individual category
(To be signed by all holder of demat account)

Date :

To,
HDFC Bank Limited
Depository Services

Subject: Undertaking / confirmation on KYC registered with KRA (individual client)
Dear Sir / Madam,

I / We intend to open Demat account with HDFC Bank Limited - Depository Participant ('HDFC Bank'). I / We request HDFC Bank Limited to download and use KYC details and documents available with KYC Registration Agency (KRA) for opening Demat account under Individual category with HDFC Bank.

I/ We undertake and confirm that the KYC details & documents as available on KRA is latest updated and correct.

I / We are KRA compliant and the KYC is registered with (Name of KRA) ______________________ Kindly use the following documents uploaded on KRA site for opening Demat account:

a) Proof of address of 1^{st} /2^{nd} /3^{rd} holder
b) PAN Card copy of 1^{st} /2^{nd} /3^{rd} holder

______________	______________	______________
1^{st} Holder	2^{nd} Holder	3^{rd} Holder

Date:

From

____

____

____

____

To
HDFC Bank Limited
Custody & Depository Services,
Mumbai.

Dear Sir,

Reg: Registration of Power of Attorney of my /our Bank & Demat Account with you.

Bank Account Number ______________________

Demat Number ______________________

I, / We have granted a Power of Attorney to Itus Capital Advisors Private Limited (PMS name), to allow operations in my / our new Bank & Demat account opened with you. The original / attested copy of POA is enclosed. I / We will inform the Bank immediately in writing, incase the POA is revoked by me / us. Till such time the bank can continue to act on the POA. Kindly arrange to register the subject POA in your records.

If I am having existing Cust. Id. and account with the bank having different address, email id. and mobile no. then kindly update the said details as per following option ticked.

☐ Please update the details a per the application form.

☐ Please update the details as per my existing Cust Id.

Further if my name in existing Cust Id. differs with the application form submitted to you, then kindly consider the name available in banking records (Cust Id.).

I am aware that any changes done in existing customer id will be applicable for all the bank accounts mapped under the said Customer id.

Further, please register the correspondence address for Demat as **HDFC Bank Limited - Custody Services, Empire Plaza, Tower 1, 4th Floor, LBS Marg, Chandan Nagar, Vikhroli West, Mumbai 400083** and Dispatch all the relevant deliverables necessary for operation of the account to the above address.

Yours Faithfully,

Thanking You,

SIGN HERE

SIGN HERE

1st Applicant	2nd Applicant	3rd Applicant

APPLICATION FOR ACCOUNT OPENING/ MODIFICATION USING AADHAAR / E-KYC FOR CUSTOMERS NOT OPTING FOR DBT

To, Date: __ / __ / ____

The Branch Manager,______________________branch,

HDFC Bank Limited ("Bank" which term shall include its successors and assigns)

UCN NO										

DP ID									DP Account								

Subject: A) Aadhaar - informed consent

1. I voluntarily opt for Aadhaar OVD KYC or e-KYC or offline verification, and submit to the Bank my Aadhaar number, Virtual ID, e-Aadhaar, XML, Masked Aadhaar, Aadhaar details, demographic information, identity information, Aadhaar registered mobile number, face authentication details and/or biometric information (collectively, "**Information**").

2. I am informed by the Bank, that:
   (i) submission of Aadhaar is not mandatory, and there are alternative options for KYC and establishing identity including by way of physical KYC with officially valid documents other than Aadhaar. All options were given to me.
   (ii) For e-KYC/authentication/offline verification, Bank will share Aadhaar number and/or biometrics with CIDR/UIDAI, and CIDR/UIDAI will share with Bank, authentication data, Aadhaar data, demographic details, registered mobile number, identity information, which shall be used for the informed purposes mentioned in 3 below.

3. I authorise and give my consent to the Bank (and its service providers), for following informed purposes:
   (i) KYC and periodic KYC process as per the PML Act, 2002 and rules thereunder and RBI guidelines, or for establishing my identity, carrying out my identification, offline verification or e-KYC or Yes/No authentication, demographic or other authentication/verification/identification as may be permitted as per applicable law, for all accounts, facilities, services and relationships of/through the Bank, existing and future.
   (ii) collecting, sharing, storing, preserving Information, maintaining records and using the Information and authentication/verification/identification records: (a) for the informed purposes above, (b) as well as for regulatory and legal reporting and filings and/or (c) where required under applicable law;
   (iii) enabling my account for Aadhaar enabled Payment Services (AEPS);
   (iv) producing records and logs of the consent, Information or of authentication, identification, verification etc. for evidentiary purposes including before a court of law, any authority or in arbitration.

4. I understand that the Aadhaar number and core biometrics will not be stored/ shared except as per law and for CIDR submission. I have downloaded the e-Aadhaar myself using the OTP received on my Aadhaar registered mobile number. I will not hold the Bank or its officials responsible in the event this document is not found to be in order or in case of any incorrect information provided by me.

5. The above consent and purpose of collecting Information has been explained to me in my local language.

B) Consent for Aadhaar details, email id & mobile number validation by KYC Registration Authority (KRA)

1. My Aadhaar record can be used by KRA only for the specific purpose of validating / maintaining / sharing my KYC record and as an audit evidence. I will have an option to request for deletion of my Aadhaar record.
2. I/We hereby consent to receiving information from KRA through SMS/Email on the above registered number/Email address.
3. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other intermediaries with whom I have a business relationship for KYC purposes only.
4. I am / we are aware that if the QR code is not readable, then the KYC shall be placed under "Hold" by the KRA, as per SEBI circular dated April 06, 2022. Further I am /we are aware that in case KYC records are not found to be valid by KRA after the validation process, I/we shall be allowed to transact in securities market only after KYC is validated.

Name of Client	Aadhaar No (Last Four Digits only)	Signature
	* * * * * * * *	

HDFC BANK

We understand your world

Demat Account Opening: Separate Mobile Number & Email Id Declaration

UDN No: ____

Application for: ☐ **Demat Account + Trading Account** ☐ **Demat Account**

I/we hereby declare as detailed below for mobile number and email id belongs to:

Holder	Name of the Customer	Mobile Number	Email Id of the Customer
1st Account Holder		______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children	______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children
2nd Account Holder		______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children	______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children
3rd Account Holder		______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children	______________ ☐ Self ☐ Spouse ☐ Dependent Parent ☐ Dependent Children

Signature of 1st holder	Signature of 2nd holder	Signature of 3rd holder

APPLICATION FOR PORTFOLIO INVESTMENT SCHEME (NRE)

Date :

The Manager
NRI and PIS Services
HDFC Bank

______________Branch

Dear Sir,

Ref : Application for permission to deal in shares in secondary market under the Portfolio Investment Scheme (PIS)

We hereby appoint HDFC Bank as a Designated Bank for PIS. We hereby advise that we have read and understood the termsand conditions as specified under the portfolio Investment Scheme and hereby declare that we agree to comply with all statutory formalities under the PIS scheme from time to time. We confirm that as on date, we do not hold PIS PERMISSION on repatriable basis with any other bank.

We hereby agree:

- The facility is available only to customers maintaining their PIS account with designated branches of HDFC Bank .
- I/We agree that if the PIS account/PIS Permission is transferred from any other bank to HDFC Bank, then I/We shall furnish a "No Objection Certificate" from my/our present banker along with a holding statement (having details of scrip name, date of purchase, cost of purchase, quantity) as specified by HDFC Bank along with a latest copy of the Demat Statement of securities.
- To deal with only one designated branch at any given point of time for PIS. All transactions under PIS will be routed through designated branch only.
- That the NRE PIS accounts and PIS PERMISSION will be held with only one bank at any given point in time.
- The NRE PIS account will not be used to route any other transactions besides transactions related to the purchase and sale of shares. All routine transactions, Demat charges, dividend warrants etc will be routed through the regular NRE NON-PIS savings account held with the bank.
- To submit the complete details of all shares held by me which was purchased from the Primary market as a non resident on repatriation basis in the formats as specified by the bank.
- To doonly delivery based transactions and not indulge in speculative transactions. To purchase and sell shares of an Indian company, through registered broker on a recognized stock exchange.
- To place purchase orders only if the Bank can earmark sufficient balance covering the purchase consideration of securities and other applicable charges in client's Bank account.
- To report to the Bank all transactions done directly through stockbrokers within 48 hours after trade, by submitting original broker contractnotes to PIS designated branch only where PIS account is maintained. Details like, PIS Permission number, PIS account,Demat Account (DP and Client ID), purchase payments (cheque no. date of cheque, gross amount of purchase) will be mentioned on purchase contract note. To mention PIS PERMISSION number on sale contract note.
- To report all purchase or sale of shares in the secondary market through the PIS account.
- To send details to the Bank of all payments made to the stock broker on account of purchase of securities along with the details of the securities purchased in the format prescribed or may be prescribed by the Bank from time to time.
- Payments for shares' receipts from the broker on account of sale of shares will be made against the amounts specified on the bills and no adjustment of purchases against sale transactions will be done even if various sale and purchase transactions are doing during the same period.
- To deposit all payment instruments (cheque/DD etc) received from a stockbroker on account of sale of securities for crediting in appropriate account along with complete details like PIS Permission number, PIS account, Trade date and the bills at PIS designated branch where PIS account is maintained.

- The purchase of equity shares/convertible debentures in each company with repatriation benefits shall not exceed 5 % (or as prescribed by Reserve Bank of India from time to time) of the paid up capital of the company, subject to an overall ceiling of 10 % (or as prescribed by ReserveBank of India from time to time) All consequences of failure in such compliance, including any losses arising out of reversal of transactions shall be to our account.

- The bank will recover its fees /charges applicable for PIS by the debit to the NRE savings (non-PIS) account held.

- The bank will deduct capital gains tax as applicable on each transaction and the sale proceeds net of tax will be credited to the designated account within 48 working hours after receipt of clear funds from broking firm.

- To submit the CA certificate and undertaking as per the formats specified under PIS along with net sale proceeds of shares in case I/We are arranging to PIS capital gains separately through our chartered accountant.

- The Bank will be able to set off losses against capital gains on equity shares only. The benefit of set off of losses is available per contract note basis (i-e: losses made in one contract note can be set off against the gains made on that contract note only. The bank will report purchase and sale contract notes on FIFO basis and compute capital gain tax accordingly, even if trades have been executed through multiple brokers.

- In case I sell shares some of which belong to PIS and others to Non PIS account on one trade date then all the sale proceeds after the deduction of TDS will be credited to the PIS account.

- Tax deducted at source by the bank will not be on exchange rate adjustment.

- The sale made will be adjusted on First In First Out basis (FIFO) at the PIS permission level (foreg: if a customer has multiple Demat accounts or multiple PIS savings account under one PIS permission) -FIFO will be applied across them while calculating capital gains) for the purpose of calculating capital gains.If any pledged shares are updated in the ledger then the same will also be considered for computation of capital gain as a part of FIFO basis

- The bank will not undertake any follow up for deliveries of any shares purchased by us.

- The bank will not undertake monitoring and follow up of corporate actions associated with any holdings of the account holder.

- To ensure before placing / conveying Sale orders that I/We actually hold free shares. (shares pending Demat or locked / pledge are not free shares).

- Not to make any contract for sale either directly through a stockbroker or through the Bank in respect of any securities, which the client has not taken delivery of.

- Shares purchased in the primary market, shares under corporate action are not to be considered under the PIS scheme. Therefore any sales proceeds pertaining to NON PIS Scheme will be credited directly into your NON -PIS account. However, in case the sale contract consist of PIS as well non PIS shares, then the sale proceeds will be credited to PIS account only.

- In case of a delay or default in providing details pertaining to shares within the prescribed time, I/we shall be solely responsible for the following consequences:

- Any impact on FIFO method of capital gains computation for such transactions and/or subsequent transactions

- Any delay in credit to my PIS/Savings Bank account.

- In case I/We are unable to provide documentary evidence that the shares were bought from the NRE accounts to the satisfaction of the Bank, the sales proceeds will be credited to myNon Repatriable Account (NRO) or shall be kept on hold by the Bank till the required details are submitted by me.

- I/We shall not purchase Banned/Cautioned scrips that are prohibited by RBI. In case of default, I/We shall arrange to sell the same immediately and losses and applicable charges, if any, on account of such transactions will be borne by me/us and I/we shall be responsible for legal consequences, if any. Further, the First in First out (FIFO) method of Capital Gain computation shall be affected and accordingly Tax shall be deducted at source.

- I/We agree to adhere to the RBI/ NSDL/ CDSL guidelines and restrictions on purchase of shares (Banned / Caution/ Breach/ Red Flag) and shall refer RBI /NSDL/ CDSL website before dealing on recognized stock exchange.

- I/We shall intimate the bank about any change in the status of security holdings on account of Corporate Actions or otherwise (IPO/FPO, Rights, Buy Back, ESOP, Inheritance, Gift, off-market transactions etc.,) to facilitate proper reporting under NRE to RBI and Capital Gain computations as per the Income Tax Act, 1961.

- As an NRI /PIO, under PIS facility I/we *will not invest in any company which is engaged or proposes to engage in the following activities* (i)Business of chit fund, or(ii) Nidhi company, or (iii) Agricultural or plantation activities, or (iv) Real estate business* or construction of farm houses, or (v) Trading in Transferable Development Rights (TDRs). [* Real estate business* does not include construction of housing / commercial premises, educational institutions, recreational facilities, city and regional level infrastructure, townships].

- In case I wish to avail of DTAA benefit; I will submit the required documents in the beginning of every financial year.

- Any changes such as a change in the residential status/name/address/email ID/telephone numbers/Contact person etc, in any of my Accounts shall be intimated to the Bank Immediately.

The Bank shall comply with instructions and procedures as laid down by Regulatory Authorities for PIS from time to time.

I /We shall indemnify and hold harmless the Bank against any direct losses costs or claims which Bank might incur/suffer as a result under PIS.

I/We specifically agree to hold the Bank harmless from any and all claims and agree that the Bank shall not be liable for any loss, actual or perceived, caused directly or indirectly by equipment failure, communication line failure, system failure, Internet failure, securities failure on the internet, unauthorizedaccess or any other problem technological or otherwise, that might prevent the client from executing or the Bank from executing an order or other conditions beyond Bank's control.

Yours Sincerely,

First Applicant **Second Applicant** **Third Applicant**

FEE SCHEDULE FOR PORTFOLIO INVESTMENT SCHEME - NRI CUSTOMERS

Sr.No	*Fee Head*	*Fees**
1	PIS approval issuance fee (one time fee)	Rs.1000.00
2	Annual account maintenance fee	Rs.1000.00
3	PIS Reporting Charges	
	Purchase	Rs.100 per contract
	Sale	Rs.100 per contract
	Purchase / Sale on same contract Rs.200 per contract	Rs.200 per contract
4	Processing of sale proceeds purchased through Secondary market under PIS - Transaction Fees	
	-Transaction value unto Rs 50000.00	Rs.100.00
	-Transaction value between Rs 50000.00 to Rs 300000.00	Rs.250.00
	-Transaction value above Rs 300000.00	Rs.500.00
5	Processing of sale proceeds purchased through Primary market as a resident / non-resident / inherited (by a customer registered for PIS)	
	-Transaction value unto Rs 50000.00	Rs.200.00
	-Transaction value between Rs 50000.00 to Rs 300000.00	Rs.400.00
	-Transaction value above Rs 300000.00	Rs.600.00
6	Processing of sale proceeds purchased through Primary market (customer not registered for PIS)	Rs.500.00

*** Plus Service tax and education cess as applicable.**

- Charges/ service standards are subject to revision at the Bank's sole discretion and as informed by circulars sent by ordinary post.
- Account Maintenance fees are non refundable and levied on a financial year basis.
- Fees for issuing approvals and annual account maintenance fee will be debited annually, while the fees for processing the sale proceeds will be debited each time the transaction is processed.
- All fees will be debited to the designated savings account.
- The above charges are independent of the Demat account charges and the brokers fees.

I / We have accepted the above schedule of charges.

Sign:

1st Applicant	2nd Applicant	3rd Applicant

(To be signed by all holders and submitted along with the PIS application form)

RPI

(To be submitted to designated branch of an authorised dealer)

Application from Non-Resident individuals of Indian Nationality or origin (NRIs) for purchase of eligible securities @ through stock exchange/s in India with repatriation benefits

1	Particulars of the Applicant:	**1st Holder**	**2nd Holder**	**3rd Holder**
I	Full Name:			
II	Overseas Address:			
III	Nationality			
IV	Details of Current Passport a. Passport No.			
	b. Place and Date of Issue			
	c. Issued by			
	d. Country of residence as stated in the passport			
	e. Country of birth			
V	If the applicant is not a citizen of India, the basis on which he/she claims to be a person of Indian Origin (Please see footnote)			
VI	Wheather resident outside India permanently; if not, since when residing abroad.			
VII	Occupation (employment, business, vocation, etc)			
VIII	Relationship with other joint holders			
2	Details of bank account with HDFC Bank Ltd			
I	NRE non PIS SB account Number			

	ii	NRE PIS SB account Number with PIS designated branch			
3		Whether the NRI applicant already holds any eligible securities acquired through Primary market with benefit of repatriation .If so,please attach a complete list of such shares/convertible debentures indicating the name and address of the company,total number and face value of the shares/convertible debentures held along with reference number and date of RBI approval. (Format of Annexure 1 attached)			

I/We solemnly declare that the particulars given above are true and correct to the best of my/our knowledge and belief and that I/we am/are citizen(s) of India/person of Indian origin.

I/We hereby undertake that I/we have no dealing/will not deal with any other designated branch/bank under PIS.

I/We agree and undertake to ensure that my/our total holding of equity/preference shares/convertible debentures in any one Indian company that may be allowed to be purchased through stock exchange/s (including the equity/ preference shares/convertible debentures already held, if any, in that company) with repatriation benefits/non repatriate shall, at no time, exceed five per cent of the paidup capital/paid-up value of each series of convertible debentures of that company.

Place: ___________

Date: ___________

(Signature of the first holder)

(Signature of second holder)

(Signature of third holder)

@ The eligible securities will include shares/debentures/bonds of Indian companies,Govt.securities (other than bearer securities) /treasury bills/units of public sector/private sector mutual fund scheme including units of UTI.

Note :For the purpose of the facility of investment in shares and securities, a person (not being a citizen of Pakistan or Bangladesh) shall be deemed to be of Indian Origin, if (a) he,at any time, held Indian Passport or (b) he or either of his parents or any of his grandparents was an Indian and a permanent resident in undivided India at anytime. A spouse (not being citizen of Pakistan or Bangladesh) of a citizen of India or of a person of Indian origin will so be deemed to be Indian Origin even thought she may be of non-Indian origin.

NRE Annexure I (Primary Market Transactions)

Customer Name : ______________________________

NRE PIS Permission Number : ______________________________

Sr.No.	Name of Company/Security	ISIN	Date of Acquisition (DD/MM/YYYY)	Number Shares / Quantity	Acquisition Price (per share)	DP ID	CLIENT ID	Remarks/Corporate Actions details

Note:

[illegible]

Account Holder's Signature ____________________ ____________________ ____________________

TO WHOMSOEVER IT MAY CONCERN

Dated

Dear Sir or Madam,

Re: Cancellation of PIS Permission __________ dt. ________ , Closure of PIS SB A/c. No. _____________

We hereby confirm that we have cancelled the above mentioned NRE/NRO PIS permission from our end and have closed the PIS Savings account. (Account no._______)

There are no current holdings in your account.

Further we confirm that from this date we will not report any transactions to RBI under Portfolio Investment Scheme under above referred permission.

Assuring you the best of our services.

Thanking You,

Yours Sincerely,

____

APPLICATION FOR ACQUISITION OF SECURITIES OR UNITS BY NRI ON NON REPATRIATION BASIS UNDER SCHEDULE "4" OF FEMAAPPLICATION FOR ACQUISITION OF SECURITIES OR UNITS BY NRI ON NON REPATRIATION BASIS UNDER SCHEDULE "4" OF FEMA

Date: ______________ Broker's name: ______________________

NRI Services Customer's PAN: ______________________

HDFC Bank

__________________ branch. NRO A/C NO: ______________________

Dear Sir,

Ref: Application for acquisition of securities or units on non-repatriation basis under schedule "4" of FEMA (Transfer or Issue of Security by a person Resident outside India)

As per the **Foreign Exchange Management Act (Transfer or Issue of Security by a person Resident outside India) (Amendments) regulations ,2016**, I/we would like to purchase and sale securities on non repatriation basis.

I/We hereby agree:

- To open the special NRO account with designated branch to acquire securities or units in secondary market on non repatriation basis. The transactions related to this special NRO account will be routed only through designated branches.
- To submit the complete details of all shares held by me which were purchased during resident tenure or were acquired from primary / secondary market as a non resident on non repatriable basis, in the formats as specified by the Bank.
- To do only delivery based transactions and not indulge in speculative transactions. To purchase and sell shares of an Indian company, through registered broker on a recognized stock exchange or outside it.
- To make payment as per purchase value from the NRO special savings account.
- To share the details with the Bank of all transactions done directly through stockbrokers within 48 hours after trade, by submitting original broker contract notes to designated branch only where the Special NRO account is maintained. Details like, Unique reference number allotted by the bank, Special NRO account number to be mentioned on purchase/sale contract note.
- To update all purchase or sale of shares transactions in the secondary market or outside it, by submitting respective contract notes or any documentary evidence showing the transaction at the designated branch.
- Payments for shares/receipts from the broker on account of sale of shares will be made against the amounts specified on the bills and no adjustment of purchases against sale transactions will be done even if various sale and purchase transactions have been done during the same period.
- I/We confirm that all investment made by me/us in securities through any mode/other bank will be confirmed and updated to Bank for computing capital gain tax on sale of such securities. The computation of tax will be done without indexation benefit.
- To deposit all payment instruments (Cheque/DD etc.) received from a stockbroker on account of sale of securities,for crediting in special NRO account along with complete details and the bills for trades executed on non repatriation basis at designated branch where the special NRO account is maintained.
- I/We confirm that any sale proceeds that are credited to NRO account will be net of applicable taxes only. In case any sale proceeds credited directly to this account, I/We will be paying applicable taxes separately at my end. I/We shall indemnify and hold harmless the Bank against direct losses, costs or claims which Bank might incur/suffer as a result under this facility.
- Sales proceeds (net of applicable taxes) of securities or units acquired under this schedule shall be credited only to NRO account irrespective of the type of account from which considerations for acquisition were paid.
- The bank will recover its fees /charges applicable for this facility by debiting the special NRO savings/NRO SB account.
- The bank will deduct capital gains tax as applicable on each transaction and the sale proceeds net of tax will be credited to the designated account within 48 working hours, after receipt of clear funds from broking firm provided all details in order.

- To submit the CA certificate and undertaking as per the formats specified by Income Tax authority from time to time along with net sale proceeds of shares in case I/We are arranging to compute capital gains separately through our chartered accountant.

- The Bank will be able to set off losses against capital gains for financial year on equity shares only. The bank will update purchase and sale contract notes details on FIFO basis and compute capital gain tax (without indexation method) accordingly, even if trades have been executed through multiple brokers.

- Tax deducted at source by the bank will not be on exchange rate adjustment.

- Computation of capital gain tax will be done without indexation method.

- The bank will not undertake any follow up for deliveries of any shares purchased under this schedule.

- The bank will not undertake monitoring and follow up of corporate actions associated with any holdings of the account holder.

- To ensure that before placing / conveying sale orders I/We actually hold free shares (shares pending for demat or locked / pledge are not free shares).

- In case of a delay or default in providing details pertaining to shares within the prescribed time, I/we shall be solely responsible for the following consequences:

- Any impact on FIFO method of capital gains computation for such transactions and/or subsequent transactions

- Any delay in credit to my NRO Savings/Special NRO Bank account.

- I/We shall intimate the Bank about any change in the status of security holdings on account of Corporate Actions or otherwise (IPO/FPO, Rights, Buy Back, ESOP, Inheritance, Gift, off-market transactions etc.,) to facilitate proper updation under the reference number and Capital Gain computations as per the Income Tax Act, 1961

- As an NRI /PIO, I/We will not make any *investment under this schedule, in equity shares,convertible preference shares, convertible debentures, warrants or units of a Nidhi company or a company engaged in agricultural/plantation activities or real estate business or construction* of farm houses or dealing in Transfer of Development Rights as amended from time to time or any restricted sector, as declared by Govt of India/RBI from time to time.

- I/We will follow guidelines/directives given by various government agencies on time to time.

- In case I/We wish to avail of DTAA benefit, I/We will submit the required documents in the beginning of every financial year.

Any changes such as a change in the residential status/name/address/email ID/telephone numbers/Contact person etc. in any of my Accounts shall be intimated to the Bank immediately.

The Bank shall comply with instructions and procedures as laid down by Regulatory Authorities from time to time.

I /We shall indemnify and hold harmless the Bank against any direct losses costs or claims which Bank might incur/suffer as a result of this facility.

I/We specifically agree to hold the Bank harmless from any/all claims and agree that the Bank shall not be liable for any loss, actual or perceived, caused directly or indirectly by equipment failure, communication line failure, system failure, Internet failure, securities failure on the Internet, unauthorized access or any other problem technological or otherwise, that might prevent the client from executing or the Bank from executing an order or other conditions beyond Bank's control.

Yours Sincerely,

____________________	____________________	____________________
1st Applicant	**2nd Applicant**	**3rd Applicant**
Name ____________________	**Name** ____________________	**Name** ____________________

FEE SCHEDULE FOR INVESTMENT IN SHARES ON NON REPATRIATION BASIS

Sr No	*Fees Head*	*Fees**
1.	NRO Securities Ledger creation charges (one time fee)	Rs.1000.00
2.	Annual Ledger maintenance fee	Rs.1000.00
3.	Updation of Ledger	
	Purchase transaction per trade date	Rs.100.00
	Sale transaction per trade date	Rs.100.00
	Purchase / Sale on same contract	Rs.200.00
4.	Processing of sale proceeds purchased through secondary market - Transaction Fees	
	- Transaction value upto Rs 50000.00	Rs.100.00
	- Transaction value between Rs 50000.00 to Rs 300000.00	Rs.250.00
	- Transaction value above Rs 300000.00	Rs.500.00
5.	Processing of sale proceeds purchased through Primary market - Transaction Fees	
	- Transaction value upto Rs 50000.00	Rs.200.00
	- Transaction value between Rs 50000.00 to Rs 300000.00	Rs.400.00
	- Transaction value above Rs 300000.00	Rs.600.00

*** Plus service tax /GST as applicable**

1. Charges/service standards are subject to revision at the Bank's sole discretion and as informed by circulars sent by ordinary post
2. Account Maintenance fees are non-refundable and levied on a financial year basis.
3. Fees for issuing NRO security ledger creation and annual account maintenance fee will be debited annually, while the fees for processing the sale proceeds will be debited each time the transaction is processed.
4. All fees will be debited from either from the NRO savings or Special NRO Savings account.
5. The above charges are independent of the demat account charges and the brokers fees.

I / We have accepted the above schedule of charges.

Sign________________ ________________ ________________

1st Applicant 2nd Applicant 3rd Applicant

(To be signed by all holders and submitted along with the application form)

NRO Annexure II(Primary Market Transactions)

Customer Name: ______________________________

NRO Securities unique reference number: ______________________________

Sr.No.	Name of Company/Security	ISIN	Date of Acquisition (DD/MM/YYYY)	Number Shares / Quantity	Acquisition Price (per share)	DP ID	CLIENT ID	Remarks/Corporate Actions details

Note:

Annexure to be submitted along with latest demat holding statement. The name and total number of securities mentioned in above annexure should match as per latest Demat holding.

As per current Income Tax guidelines on LTCG: For computation of capital gains for securities acquired on or before 31stJanuary, 2018 the purchase cost of acquisition will be determined as

a) The actual cost of acquisition of shares and b) The lower of –

i)The fair market value of shares on 31stJanuary, 2018 and

ii) Sale consideration of shares

I/We confirm that the above securities are not acquired through other NRI's/Private arrangement from RI/NRI/any other similar mode, in case of such securities to be reported then I/We will report the same seperately with all details. I/We also confirm that the above shares acquired by me /us have been complied with STT provisions and are eligible for capital gain tax benefits available on sale of shares on stock market floor as per the Income Tax Act,1961and relevant regulations issued thereon.

In case of ESOP shares, I/We will provide the details as per ESOP checklist and I/We confirm that if the perquisite tax is not paid in India then Fair Market Value (FMV) will not be considered as cost of acquisition for computation of capital gain instead Exercise price will be considered as cost of acquisition.

I hereby Indemnify and hold the Bank harmless at all times against any and all claims, liabilities, damages, losses, costs, charges, expenses (including, legal cost and fees), proceedings, and actions of any nature whatsoever made or instituted against or caused to or suffered by the Bank directly or indirectly on account of wrong computation of capital gain taxes by placing reliance on aforementioned information/data and confirmation provided by me/us.

Account Holder's Signature ____________ ____________ ____________

Name of the Account holders (____________) (____________) (____________)

HDFC BANK

Annexure A: Declaration for Addition of Resident Indian as a Joint holder in New/Existing NRE/FCNR/NRO account/deposit

Date:..................

To
The Branch Manager
HDFC Bank, ________________Branch

Sub: Addition of Resident Indian as a Joint holder in NRE/FCNR/NRO Account/Deposit with Mode of Operation as Former or Survivor basis.

Dear Sir,

NRE/ FCNR

I confirm that Mr. /Ms____(Resident Indian) who is desirous of opening a joint NRE/FCNR account/deposit with me in your Bank is my_________________(in relation), a resident close relative as defined under Companies Act, 2013.

NRO

I confirm that Mr. /Ms____(Resident Indian) who is desirous of opening a joint NRO account/deposit with me in your Bank is my______________________ (in relation).

I/We together also confirm that Mode of Operation of NRE/FCNR/NRO account will be on 'Former or Survivor' basis only and that the existing Mode of Operation may be amended.

Thanking You,
Yours Sincerely

SIGN HERE

First/ Primary Applicant **Secondary Applicant**

SIGN HERE

Name: **Name:**

In this context the close relative is defined in the Companies Act, 2013.

Sec.6. Meaning of "relative" — Act of 2013

U/s 2(77) "relative", with reference to any person, means anyone who is related to another, if—
(i) they are members of a Hindu Undivided Family;
(ii) they are husband and wife; or
(iii) one person is related to the other in such manner as may be prescribed.

As prescribed

i. Father (including step-father)

ii. Mother (including step-mother)

iii. Son (including step-son)

iv. Son's wife

v. Daughter

vi. Daughter's husband

vii. Brother (including step-brothers)

viii. Sister (including step-sisters)

HDFC BANK

Annexure B: Declaration for Addition of Non - Resident Indian as a Joint holder in New/Existing Resident account/Deposit

Date:____________

To
The Branch Manager
HDFC Bank, ________________ Branch

<u>Sub: Addition of Non - Resident Indian as a Joint holder in Resident Account(s)/ Deposit(s) with Modeof operation as 'Former or Survivor' basis.</u>

Dear Sir,

I confirm that Mr. /Ms.____ (Non - Residentindian) who is desirous to be a joint applicant in Resident account(s)/Deposit(s) with me in your Bank is my____________________ (In relation), a close relative as defined under Companies Act, 2013.

I/We also confirm that Mode of Operation in Resident account(s)/ Deposit(s) will be on 'Former or Survivor' basis only.

Thanking You,
Yours Sincerely

First/ Primary Applicant

Name:

Secondary Applicant

Name:

In this context the close relative is defined in the Companies Act, 2013.

Sec.6. Meaning of "relative" — Act of 2013

U/s 2(77) "relative", with reference to any person, means anyone who is related to another, if—

(i) they are members of a Hindu Undivided Family;

(ii) they are husband and wife; or

(iii) one person is related to the other in such manner as may be prescribed.

As prescribed

i. Father (including step-father)

ii. Mother (including step-mother)

iii. Son (including step-son)

iv. Son's wife

v. Daughter

vi. Daughter's husband

vii. Brother (including step-brothers)

viii. Sister (including step-sisters)

Declaration by Mariner proceeding for voyage on fresh contract

Date:

The Manager
HDFC Bank

____

____

Dear Sir,
Re: Declaration
I hereby confirm that I am proceeding on a contract with______________________________(company) registered in ____ ____ (address of the principals). I will be joining on the contract on/ by ______________. I request you to kindly open an NRE/NRO account in my name on the basis of the following documents submitted:

- Passport copy
- Contract confirmation

I understand that the account will be opened in block status and I will not have access to the same until I submit the following documents:

- Passport pages showing exit & entry stamp of my journey outside India
- Contract copy
- Visa
- Copy of CDC Book indicating the trip abroad (applicable for cargo ship)

I also confirm that I will inform the bank incase I am unable to proceed on the contract and have the non-resident accounts opened in my name redesignated to resident accounts.

Yours Sincerely,

____

Declaration by Mariner who is on break

Date:

The Manager
HDFC Bank

____

____

Dear Sir,
Re: Declaration
I hereby confirm that I have just returned after completion of my contract with ____ (Company) Registered in ____ (Address of the principals). I am on break for ____ days / months and will be joining on a new contract on / by _______.

I request you to kindly open an NRE/NRO account in my name on the basis of the following documents submitted

- Passport copies indicating my previous trip abroad
- Most recent contract copy
- Copy of CDC Book.

I also confirm that I will inform the bank in case I am unable to proceed on a new contract or choose not to go on a new contract, and will have the non-resident accounts opened in my name re-designated to resident / RFC accounts (if eligible).

Thanking you
Yours Sincerely,

____

Declaration for a Non- English language document submitted to the Bank

Date:

The Manager
HDFC Bank

Dear Sir/Madam,
Re: Declaration

I / We request you to kindly open an NRE / NRO (Savings / Current / Time Deposit) / FCNR Deposit in my / our name on the basis of the documents submitted as a proof ofmy / our NRI Status (other than my / our passport).

The details as per the document are:

1. Document Name : ______________________
2. Document No : ______________________
3. Issued By : ______________________
4. Issued At (Place) : ______________________
5. Issued On : ______________________
6. Valid Till : ______________________

I / We hereby confirm the validity of the above document given that the same in a nonEnglish language.

I / We also confirm that I / We will inform HDFC Bank in case my / our residential status changes from a Non-Resident Indian to a Resident Indian and will have the NonResident Account (s) opened in my / our name changed to Resident / RFC accounts (as eligible).

Yours Sincerely,

(X1) ______________ Signature (1st account holder)	(XX1) ______________ Signature (2nd account holder)	(XXX1) ______________ Signature (3rd account holder)

To
The Manager
HDFC Bank Ltd.,
________________ Branch

Person of Indian Origin (PIO) Declaration
(Not to be used by citizens of Bangladesh or Pakistan)

Dear Sir / Madam,

I (Name) ________________________ hereby declare that I am a Person of Indian Origin (PIO) because I satisfy one of the following conditions: *(Please select from the below)*

- ○ I held an Indian Passport earlier
- ○ My father/mother /grandfather/grandmother (Name)____________________ is/ was a citizen of India
- ○ I am a spouse of (Name)________________________ who is an Indian Citizen
- ○ I am a spouse of (Name) ________________________ who is a PIO

Further, I hereby – *(Please select from the below)*

- Submit the following documents in support of my declaration
  - ______________________________
  - ______________________________

- Declare that I do not possess any document in support of my declaration

I confirm the above information is true and correct and that I may be required to prove my status as a PIO if I'm questioned by any authority.

Signature of Declarant ______________________________

Place:

Date:

Date: -

The Manager,
HDFC Bank Ltd.,
NRI Services – PIS Section,
101 - 104, Tulsiani Chambers,
Nariman Point,
Mumbai – 400 021.

Dear Sir / Madam,

Re.: <u>Extension of PIS Permission.</u>

I have already taken PIS permission on repatriable / non-repatriable basis.

My PIS Permission details are as follows: -

Customers Name ____

Permission number ____

NRE / NRO saving account no. ________________________________

and NRE / NRO PIS account no. _______________________________

I wish to trade on an **Online / Offline** basis through **HDFC Securities / Broker**

on repatriable / non - repatriable basis.

I request you to issue extension letter from **Online / Offline** to **Online / Offline**

on repatriable / non - realriable basis.

Kindly provide the same at the earliest.

Thanking you,

Yours Sincerely,

(Customer Name & Signature)

Date:

To,

HDFC Bank Limited
Custody Services,
Empire Plaza, Tower 1,
4th Floor, LBS Marg,
Chandan Nagar,
Vikhroli West,
Mumbai 400083

Declaration of non-solicitation of any information by HDFC Bank Ltd

I/We , ______________________________ and ______________________________

residing at ____

Hereby declare that HDFC Bank Limited has not solicited any information about Demat, Custody and Bank Accounts to us. We had personally visited India and approached HDFC Bank Limited for opening Demat, Bank and Custody accounts.

We agree to receive all the communications via email / SMS on our registered email ID and mobile number

Further, these accounts would be operated from India by Ibus Capital Advisors P Ltd (PMS) having its office at II Floor, No. 40, Second Main Road, R. A. Puram, Chennai 600 028 acting as our Portfolio Manager

Thanking You,

Signature of the First Holder

First Holder Name:

Signature of the Second Holder

Second Holder Name:

Date:

The Compliance Officer
Itus Capital Advisors Pvt. Ltd
2^{nd} Floor,
No. 40, 2^{nd} Main Road,
R.A. Puram,
Chennai - 600028

Subject : Declaration Regarding Financial details

Dear Sir / Madam,

With reference to the requirement for submitting a self-attested copy of the latest Income Tax Returns for the PMS account being opened by me with your company, I/We hereby confirm that the investments proposed to be made by me/us are from my/our own income from legal sources and that I/we confirm having been fully compliant with the Anti Money Laundering Laws.

Please confirm on any one of the following options:

A) This is to bring to your notice that I have filed my returns with Indian Income Tax authorities and same is submitted for your reference.

B) This is to bring to your notice that I have filed my returns with Indian Income Tax Authorities, however, will submit the returns as and when required by any Regulatory authority at a future date.

I/We undertake to produce all such documents as may be necessary to satisfy the compliance under Anti Money Laundering Act and Regulations, should the same be required by any Regulatory Authority at a future date.

Client's Signature : ________________________________

To,

Itus Capital Advisors Pvt. Ltd
2nd Floor,
No. 40, 2nd Main Road,
R.A. Puram,
Chennai - 600028

Sub : Authorisation for sending documents in electronic mode

I understand that you provide a facility to your clients to receive the Contract Notes as well as Statements of Accounts, Statement of Margin & Securities and other documents/communication, which may be sent by you from time to time, electronically in lieu of the physical mode. I hereby agree to avail the said facility and authorise you to issue digitally signed Electronic Contract Notes(ECN) and also the Statement of Accounts, Statement of Margin & Securities and other document(s)/ communication in electronic mode in lieu of the physical mode. For this purpose, I request you to send the said documents at the following email id provided by me

I understand that the documents sent by you through email as above will be considered as having delivered to me once they leave your server and I also acknowledge that the non-receipt of bounced mail notification by you shall amount to delivery of the documents at my above email id. I understand that you shall not take cognizance of any out-of-office/ out-of-station auto replies.

I hereby agree that in the absence of any communication from me regarding the non-receipt of ECN and other documents through email, you may construe that there is a deemed acknowledgement of the documents sent by you.

This authorisation shall remain in force until otherwise notified by me in writing. In case there is any change in the email id, the same shall be communicated by me through a physical letter to you.

Yours sincerely,

Client's Signature : ______________________

Name : ______________________

Date : ______________________

From

____
____
____
____

Date:

To,

HDFC Bank Ltd
Depository Services
Lodha - I Think Campus
Building Alpha, 8th Floor,
Kanjur Marg(East),
Mumbai - 400 042

Dear Sir,

Subject - Signature mismatch declaration

This is to confirm an declare that the two signatures which are apended herin below belongs to me

Old Signature -

New Signature -

Kindly take my new signature in your record

Thanking you,

(Client's New Signature)

To

The Manager
HDFC Bank Ltd
Depository Operations

Subject: FEMA Declaration

I (First/ Sole holder) / we ____ agree that I /We have complied with FEMA regulations and shall continue to do so in the future with respect to any new regulations that may be introduced by the Indian Government from time to time

Name of all Account Holder/s	Signature of all Account Holder/s
1	[S]
2	[S]
3	[S]

HDFC BANK

Update / Change request for Mode Of Operation & Communication in Jointly held Individual Demat accounts

Branch Code : - ______________ Branch Inward Date: - ______________

To Date: D D M M / Y Y Y Y

HDFC Bank Limited, Depository Services, Empire Plaza I, 4th Floor, LBS Marg Vikhroli West, Mumbai – 400083

DP ID	**NSDL**: IN300126, IN301151, IN301549, IN400476, IN300601, IN301436 **CDSL** :13012400, 13020700
Client ID	
UDN Number (For Onboarding customer)	
First Holder Name	
Second Holder Name	
Third Holder Name	

☐Update / Change in Mode of Operation (Please tick any one of the below options)		☐ Opt-Out from "**Anyone of the holder or survivors(s)**" Mode of Operation
☐	**Jointly**	
☐	**Anyone of the holder or survivors(s)**	
Communication to be sent to		☐ **First Holder** ☐ **All joint account holders**

Note: -

- **Mode of operation applicable only for the below transactions. Remaining instructions should be signed by all holders of the demat account.**
  1. Transfer of securities including Inter-Depository Transfer.
  2. Pledge/ Hypothecation / margin pledge / margin re-pledge (Creation, Closure and Invocation and confirmation thereof, as applicable).
  3. Freeze/ unfreeze account and/ or the ISIN and / or specific number of securities.
- Opt-Out from "Anyone of the holder or survivors(s)" can be signed by ANY OF THE JOINT HOLDER.
- In case if 'first holder' is selected, the communication will be sent as per the preference mentioned. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned and communication to other holders will be in electronic mode. The default option will be communication to 'first holder', if no option selected."

	First Holder	Second Holder	Third Holder
Signature:	______________	______________	______________
Name:	______________	______________	______________

Version No -2.0 (20230329)

--------------------Tear Here--------------------

Acknowledgement Receipt Date: D D M M / Y Y Y Y

Received Account Details Addition / Modification request as per details given below:

DPID: IN300126, IN301151, IN301549, IN400476, IN300601, IN301436, 13012400, 13020700

Client ID: ______________________ **UDN No.:** ______________________

Modification Request received for: ☐Change in Mode of Operation ☐ Opt-Out from "Anyone of the holder or survivors(s)"

Communication to be sent to: ☐First Holder ☐All joint account holders

ITUS
CAPITAL

II Floor, No.40, II Main Road, R.A.Puram,Chennai - 600 028.
email - info@ituscapital.com | website - www.ituscapital.com